

# Surface Radiation budget from CERES and A-train

Seiji Kato<sup>1</sup>, Norman G. Loeb<sup>1</sup>, Fred Rose<sup>2</sup>, David  
Rutan<sup>2</sup>,

Alexander Radkevich<sup>2</sup>, Dave Doelling<sup>1</sup>,  
Seung Hee Ham<sup>1</sup>, and David Fillmore<sup>3</sup>

<sup>1</sup>NASA Langley Research Center

<sup>2</sup>Science System & Applications Inc.

<sup>3</sup>Tech-X



# Outline

- CERES surface irradiance products
- Evaluation of surface irradiance
- Surface irradiance uncertainty
- Surface and atmospheric net irradiance uncertainty
- Thoughts on the discrepancy

# Surface irradiance products produced by the CERES project

- CRS (Level 2)
- SYN1deg-Month (Level 3)
- EBAF-surface (Level 3B)

# SYN1deg-month

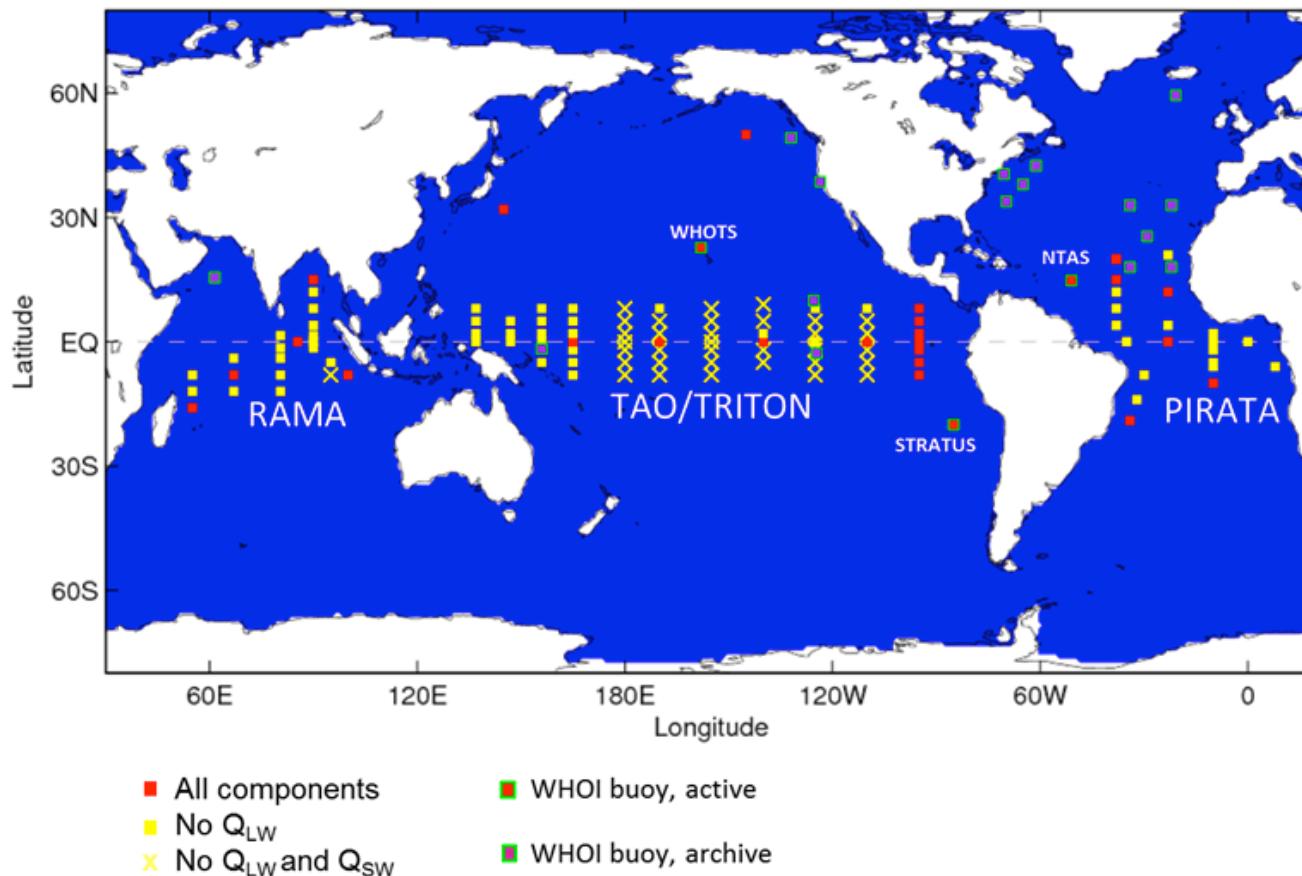
- MODIS derives clouds
- MODIS and MATCH derive aerosols
- GEOS-4 and -5 provide temperature and humidity profiles
- Daily snow and ice map (used in producing SYN)
- Surface albedo map derived from clear-sky CERES scenes

# EBAF-surface

- Surface irradiances produced by constraining TOA irradiance by CERES observations (i.e. computed TOA irradiances agree with CERES-derived irradiances).
- Correction of MODIS and GEO derived cloud properties by CALIPSO and CloudSat derived cloud properties
- Correction of GEOS-4 and 5 temperature and humidity by AIRS derived temperature and humidity profiles (upper troposphere) and by GEOS-5.4.1 (60°N to 60°S boundary layer)

# Evaluation by surface observations

# Evaluation with surface observations (Ocean)

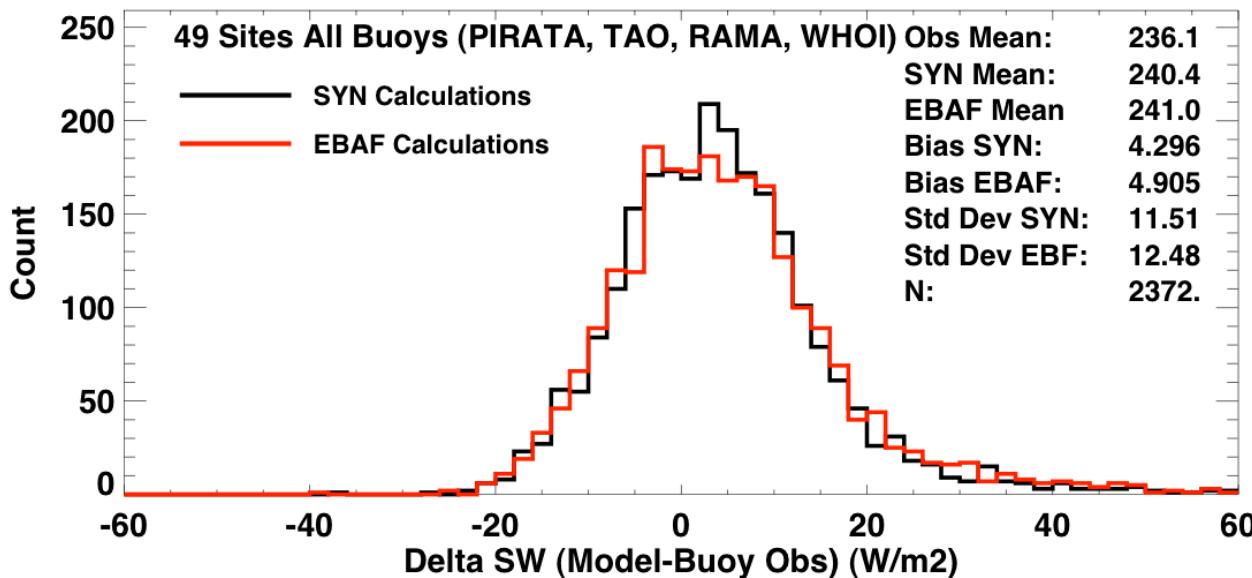


Use 71 buoys for shortwave comparison

Use 23 buoys for longwave comparison

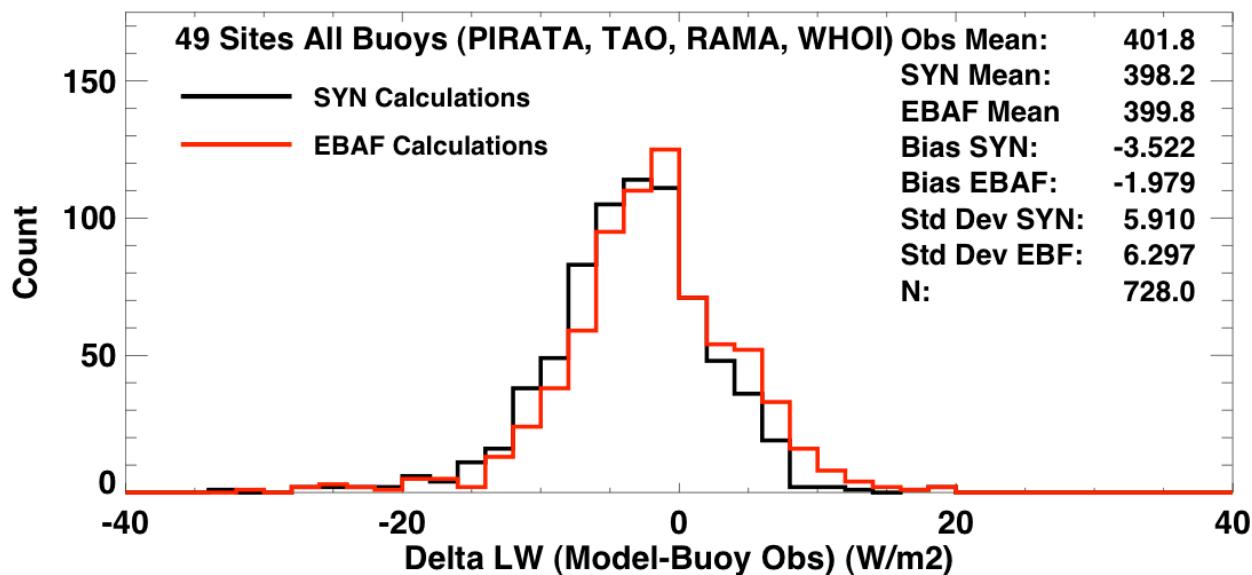
# Evaluation with surface observations (Ocean)

## Delta SW Surface Model-Observation



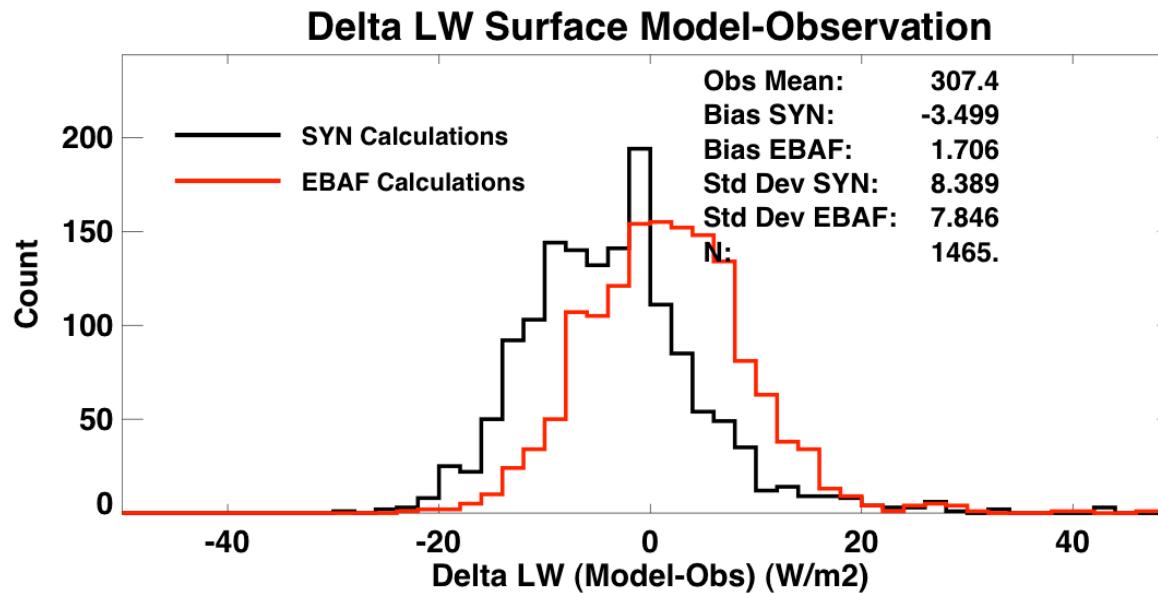
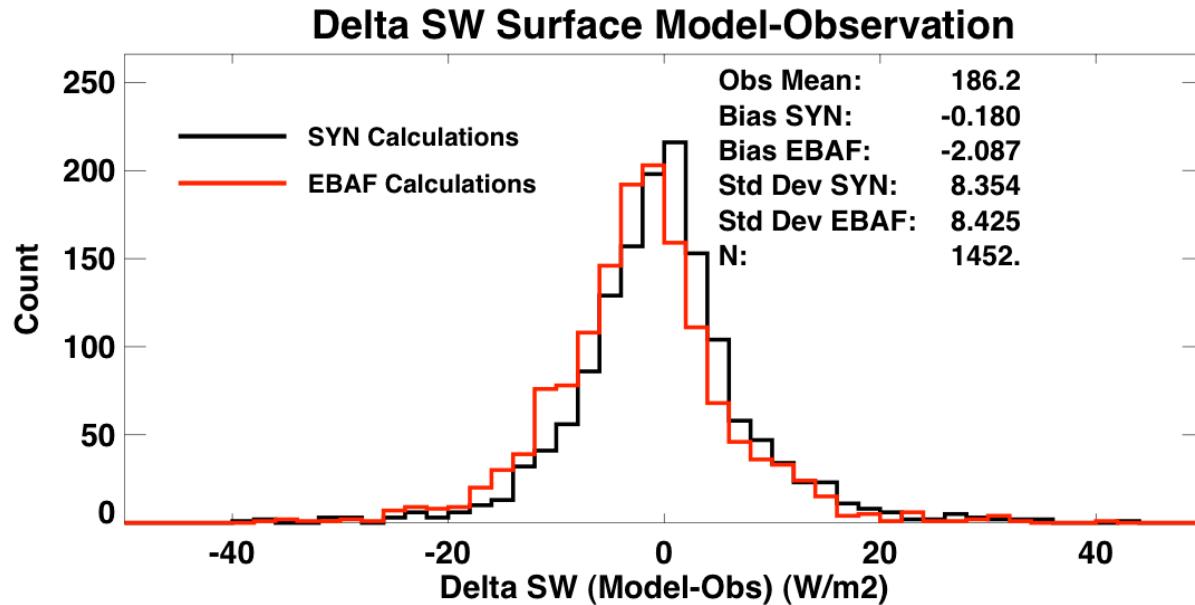
Monthly mean  
Comparison  
Result depends on  
how bad surface  
observations are screened

## Delta LW Surface Model-Observation

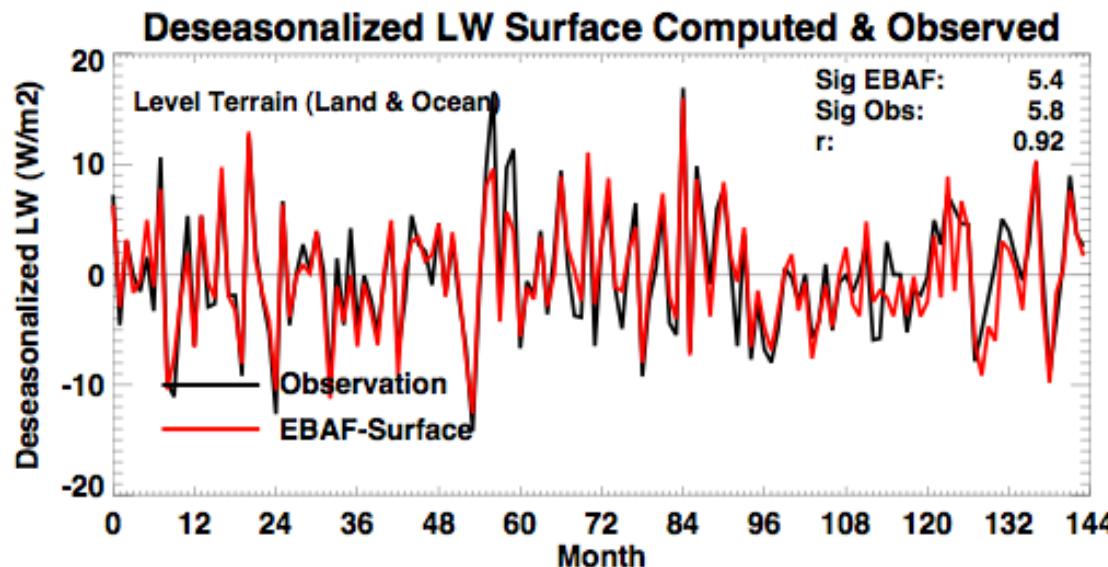
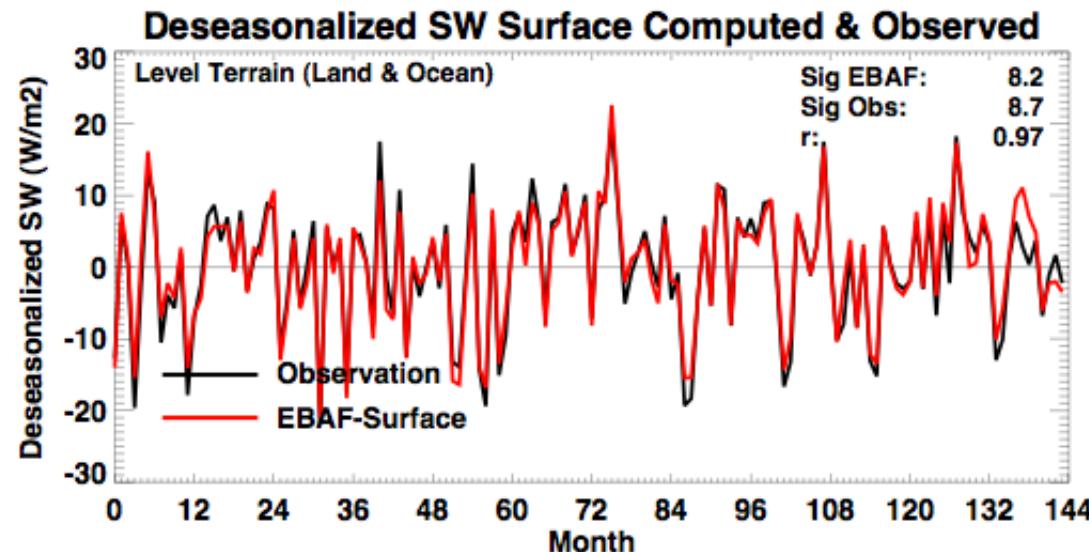


Measurement uncertainty  
in  
Daily or annual mean  
Downward shortwave  
**5 to 6 Wm<sup>-2</sup>**  
Downward longwave  
**4 Wm<sup>-2</sup>**  
(Colbo and Weller 2009)

# Evaluation with surface observations (Land)



# Evaluation with surface observations (land and ocean) from March 2000 through Feb. 2012



# Uncertainty

- Temperature and humidity profile
- Cloud properties
- Land surface albedo and emissivity
  - Small uncertainty in ocean albedo and emissivity
- Diurnal cycle
- Aerosols
- 1D model

# Error budget (global annual mean)

TABLE 6. Surface irradiance uncertainty estimate (after Kato et al. 2012).

	Bias error with known sign	Uncertainty	References
<b>Surface downward longwave irradiance</b>			
Cloud-base height	-3.6*		Kato et al. (2011a)
Temporal interpolation	-2.6		Kato et al. (2011a)
Surface temperature		4.5	Kato et al. (2011a)
Precipitable water		5.2	Zhang et al. (2006), Kato et al. (2011a)
Interannual variability		0.8	Kato et al. (2011a)
Overall uncertainty		<u>6.9</u>	
<b>Surface upward longwave irradiance</b>			
Surface skin temperature		3.2	Kato et al. (2012)
Surface emissivity (land only)		0.5	This study
Interannual variability		0.4	Kato et al. (2012)
Uncertainty due to TOA longwave irradiance			
Overall uncertainty		<u>3.3</u>	
<b>Surface downward shortwave irradiance</b>			
Clouds		2.8	Kato et al. (2012)
Aerosol optical thickness**		1.7	Kim and Ramanathan (2008)
Aerosol single scattering albedo**		1.7	Kim and Ramanathan (2008)
Precipitable water**		1.5	Kim and Ramanathan (2008)
Ozone**		0.5	Kim and Ramanathan (2008)
Interannual variability		0.3	Kato et al. (2012)
Uncertainty due to TOA shortwave irradiance			
Overall uncertainty		<u>4.0</u>	
<b>Surface upward shortwave irradiance</b>			
Albedo		3.4	Kato et al. (2012)
Interannual variability		0.1	Kato et al. (2012)
Uncertainty due to TOA shortwave irradiance			
Overall uncertainty		<u>3.4</u>	

# Estimated surface irradiance uncertainty

TABLE 5. Summary of uncertainties in the irradiance computed with satellite-derived cloud and aerosol properties in  $\text{W m}^{-2}$  (after Kato et al. 2012).

		Mean value	Estimated uncertainty			
			Monthly gridded	Monthly zonal	Monthly global	Annual global
Downward longwave	Ocean+land	345	14	11	7	7
	Ocean	354	12	10	7	7
	Land	329	17	15	8	7
Upward longwave	Ocean+land	398	15	8	3	3
	Ocean	402	13	9	5	5
	Land	394	19	15	5	4
Downward shortwave	Ocean+land	192	11	10	6	5
	Ocean	190	11	10	6	5
	Land	203	12	10	7	5
Upward shortwave	Ocean+land	23	11	3	3	3
	Ocean	12	11	3	3	3
	Land	53	12	8	6	6

Kato et al. J Climate 2013

Monthly mean RMS difference between computed and observed irradiance  
(less than or equal to monthly gridded uncertainty)

	Ocean	Land
LW down RMS	6.0	8.3
SW down RMS	10.9	8.9

# Comparison with other estimates (shortwave)

	SW down	SW up	SW NET	TOA insulation	transmittance	Surface absorptance
Gupta et al. (1999)	185	24	161	341	0.542 (184)	0.472 (160)
Zhang et al. (2004)	189	24	165	342	0.553 (189)	0.483 (164)
Hatzianastassiou et al. (2005)	172	23	149	342	0.503 (172)	0.436 (148)
Kim and Ramanathan (2008)			164	348		0.471 (160)
L'Ecuyer et al. (2008)	218	25	193	352	0.619 (211)	0.548 (186)
Wang and Pinker (2009)	184		168	342	0.539 (184)	0.492 (167)
Kato et al. (2011)	192	23	169	341	0.563 (192)	0.495 (168)
EBAF-surface 2.7	187	24	163	340	0.549 (187)	0.478 (163)

6 out of 8 downward surface irradiance estimates are within  $4 \text{ Wm}^{-2}$

6 out of 8 absorbed surface irradiance estimates are within  $\pm 4.5 \text{ Wm}^{-2}$

Using solar constant of  $340 \text{ Wm}^{-2}$

# Comparison with other estimates (longwave)

TABLE 1. All-sky and clear-sky global, annual mean downward longwave fluxes in  $\text{W m}^{-2}$ . Uncertainties given as  $\pm$  are determined from surface measurement validation (for surface radiation budget SRB) and from global average sensitivity studies. Asterisks indicate an incomplete estimate of error that accounts only for instrument error.

	All sky			Clear sky		
	LW up	LW down	LW net	LW up	LW down	LW net
Trenberth et al (2009), 2000–2004	396	333	-63			
Wild et al. 1998	397	$345 \pm >5^*$	-52	397	$321.5 \pm 5$	-75.5
Reanalysis						
NRA						
ERBE period (1985–1989)	395.5	334.1	-61.4		312.7	-84.7
CERES period (2000–04)	396.9	336.5	-60.4			
ERA-40						
ERBE	394.2	340.2	-54.2		314.1	-82.1
JRA						
ERBE	395.6	324.3	-71.3			
CERES	396.9	324.1	-72.8			
GEWEX SRB						
January 1984–December 2007)						
Primary	396.5	$343.9 \pm 11$	-52.6	395.9	$310.4 \pm 11$	-85.5
QC	398.7	$347.5 \pm 13$	-51.2		$313.2 \pm 13$	-85.5
ERBE						
February 1985–April 1989)						
Primary	395.9	$343.7 \pm 11$	-52.2	395.4	309.2	-86.2
QC	398.0	$347.5 \pm 13$	-50.4		312.0	-85.9
CERES						
March 2000–May 2004)						
Primary	397.2	$343.7 \pm 11$	-53.5	396.7	310.7	-86.0
QC	399.1	$346.7 \pm 13$	-52.3		313.3	-85.8
ISCCP-FD 1985–1989	395.6	$344.7 \pm 10/15$	-50.9	394.1	$313.5 \pm 10/15$	-80.6
CERES (Ed2 AVG) 2000–05	398.0	342.0	-56.0	397.3	315.2	-82.1
A-Train 2006–09						
Radar (only)	398	334	-64			
Radar + lidar ( $H$ )	$398 \pm 9$	$350 \pm 9$	$-48 \pm 9$		326	-72
Radar + lidar [CERES, CALIPSO, <i>CloudSat</i> , and MODIS (CCCM)]	$398 \pm 5$	$347.2 \pm 7$	$-51 \pm 9$	396	313	-83

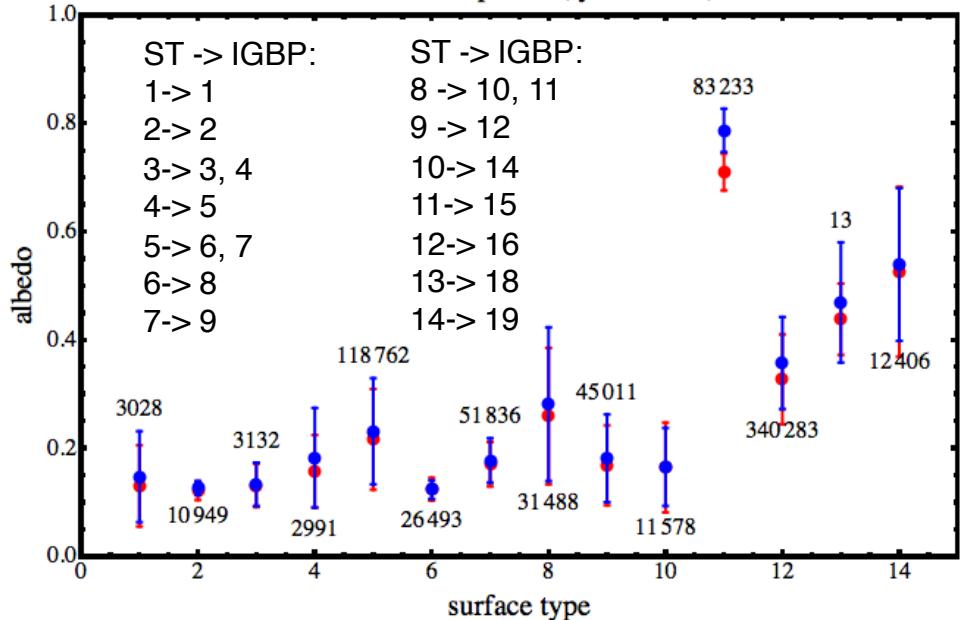
Satellite based LW down estimates are all within  $\pm 3 \text{ Wm}^{-2}$   
 Satellite based NET estimates are within within  $\pm 2.5 \text{ Wm}^{-2}$   
 (excluding radar only)

Stephens et al. 2012 J. Climate

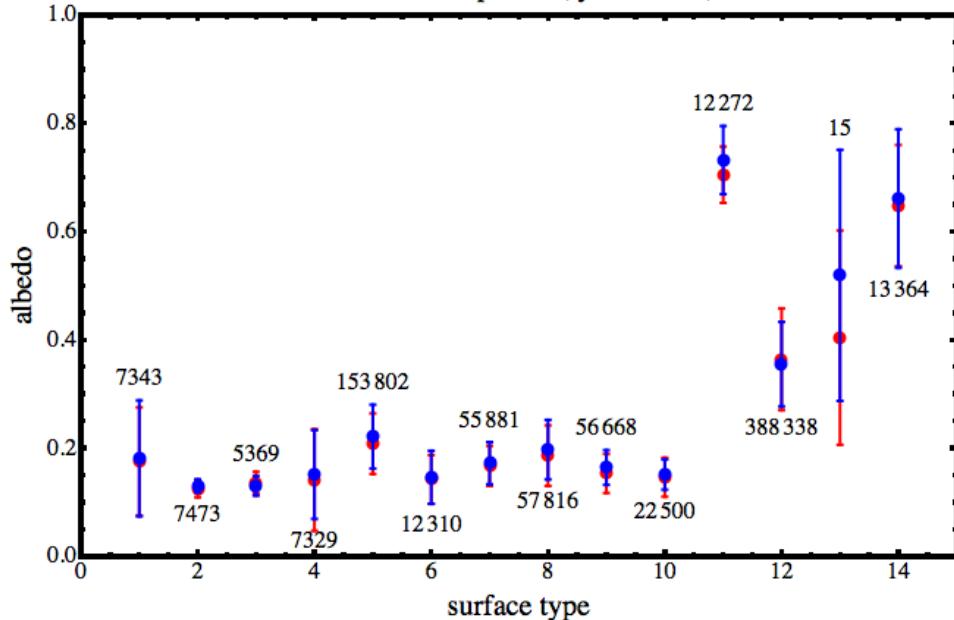
# Land surface albedo and surface emissivity

# CERES vs MODIS albedo comparison

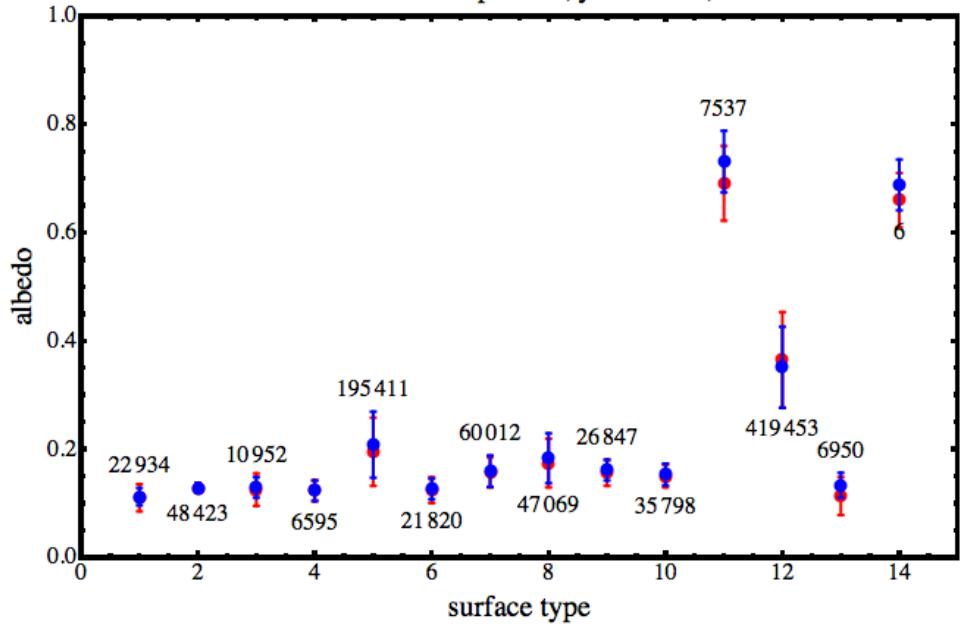
Global land albedo comparison, year: 2008, month: 01



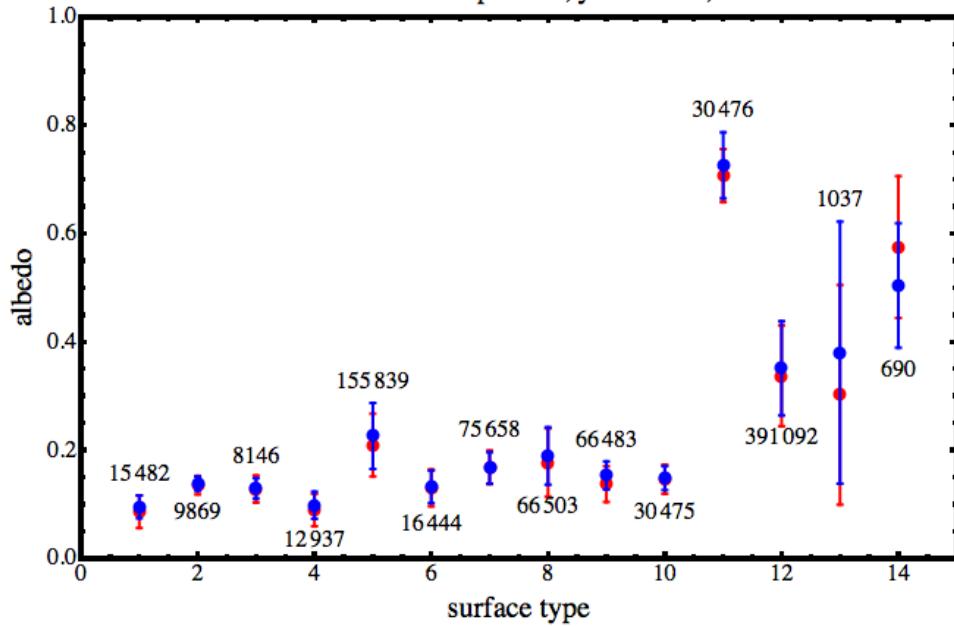
Global land albedo comparison, year: 2008, month: 04



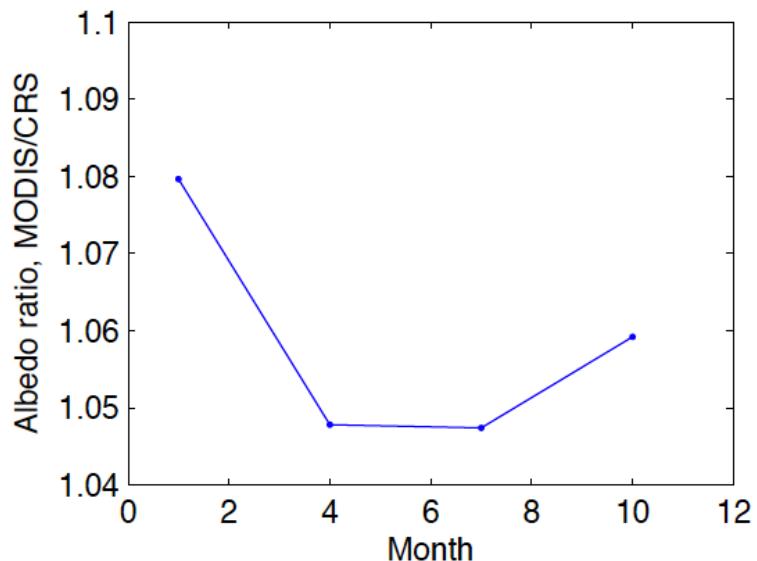
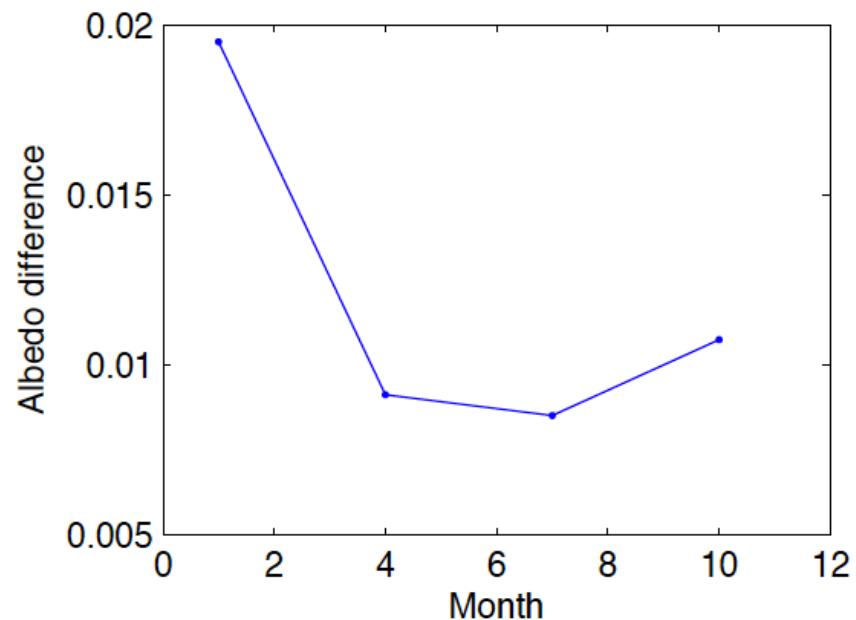
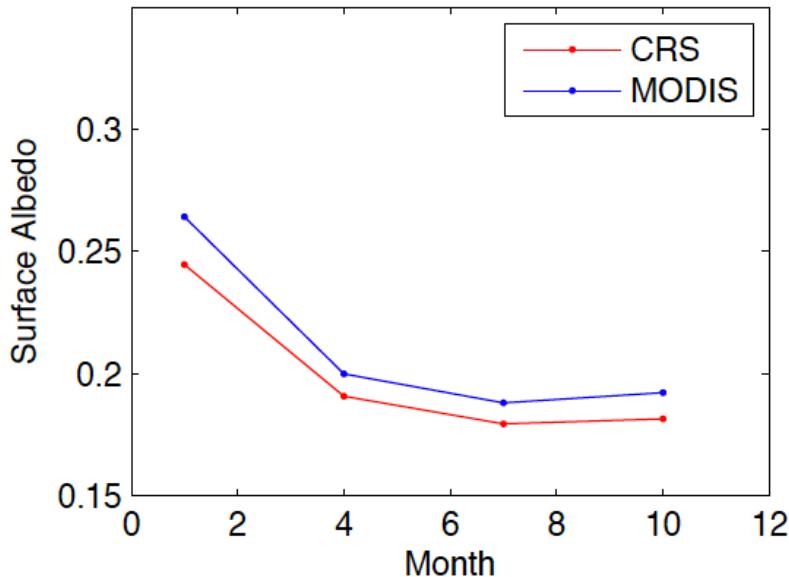
Global land albedo comparison, year: 2008, month: 07



Global land albedo comparison, year: 2008, month: 10



# Land surface albedo uncertainty



$$\Delta \text{albedo F}_{\text{sw\_dn}} = 0.012 \times 203 \\ = 2.4 \text{ Wm}^{-2}$$

Estimated annual global uncertainty of SW up over land:  $6 \text{ Wm}^{-2}$

# Surface emissivity

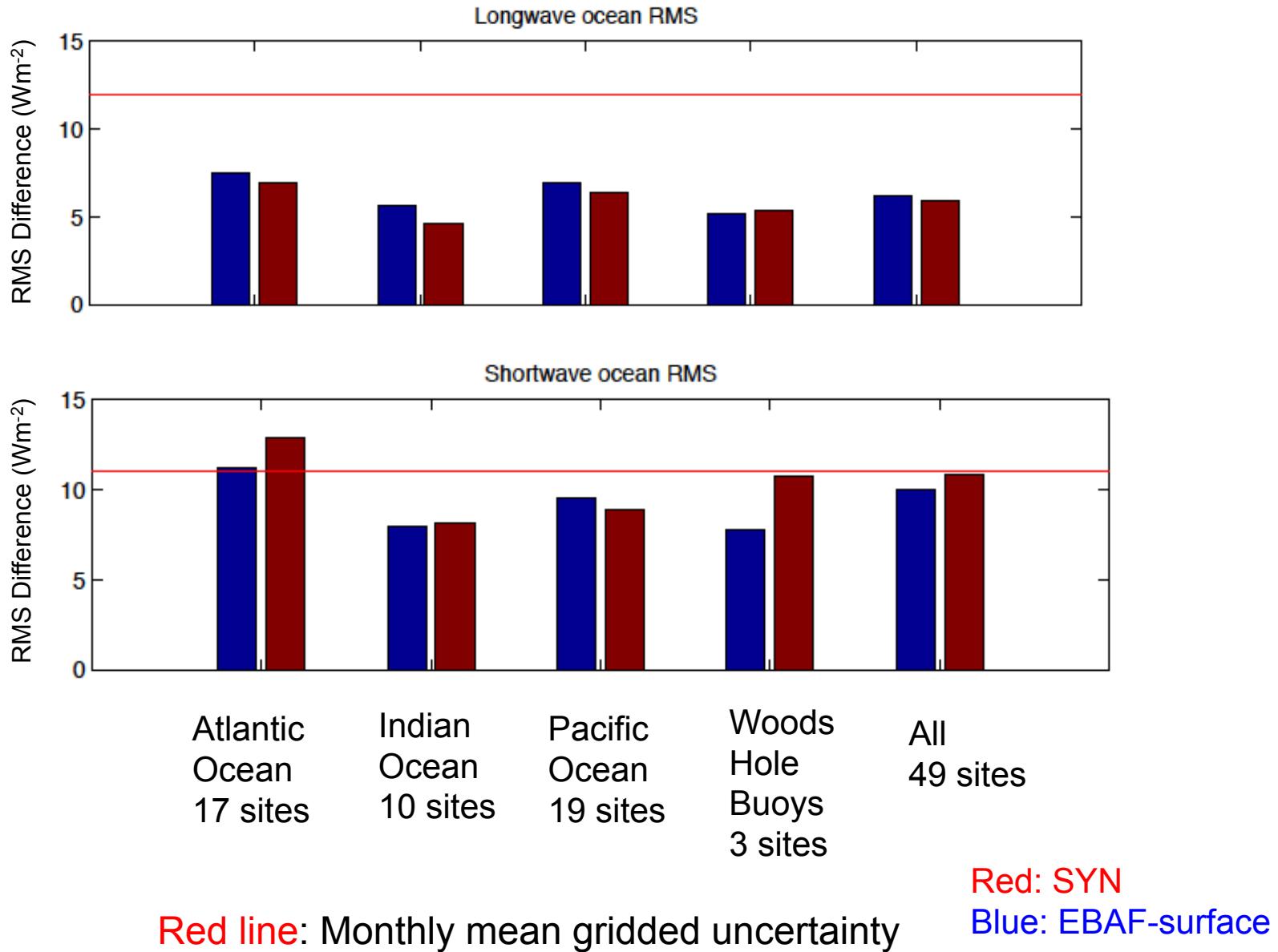
- A 1% surface emissivity perturbation changes the surface upward longwave irradiance by approximately  $0.5 \text{ Wm}^{-2}$  when the surface temperature is 294K.
- Land surface emissivity uncertainty of 3% based on the difference of two data sets given by Zhang et al. (2006) [ISCCP-FD and Wilber et al. 1999]
- We estimate the global annual mean uncertainty of  $0.5 \text{ Wm}^{-2}$  ( $1.5 \text{ Wm}^{-2}$  times 0.3, 30% global land cover) due to the surface emissivity uncertainty
- Ocean surface emissivity depends on wind speed and temperature. The range of the emissivity change caused by a realistic range of wind speed (Wu and Smith 1997; Hanafin and Minnett 2005) and temperature (Newman et al. 2005) is, however, well less than 1%.

# Aerosols radiative effects

		SYN (Su et al. JGR Jan 2013 Table 2 &3)	Kim and Ramanathan (2008)	CCCM (CALIPSO + CloudSat + MODIS)
Clear-sky	TOA ( $\text{Wm}^{-2}$ )	-5.8	-5.9	-3.3
	ATM ( $\text{Wm}^{-2}$ )	3.4	4.8	
	SFC ( $\text{Wm}^{-2}$ )	-9.1	-10.7	
All-sky	TOA ( $\text{Wm}^{-2}$ )	-3.1	-3.0	-2.3
	ATM ( $\text{Wm}^{-2}$ )	3.3	4.4	
	SFC ( $\text{Wm}^{-2}$ )	-6.3	-7.4	

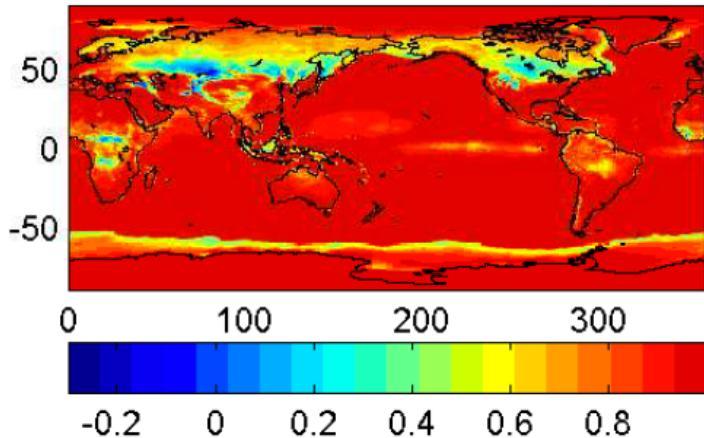
- Aerosol radiative effects have large uncertainty but the contribution to global mean radiation budget is small.
- Small particles can absorb more but high concentrations of small particles do not exist for very long time

# RMS compared with buoy observations

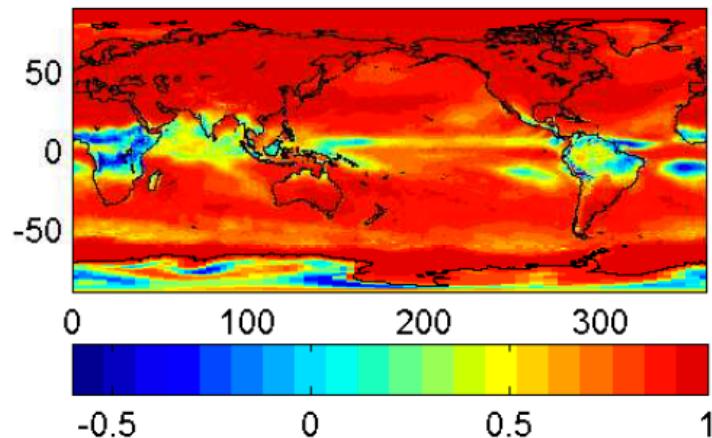


# Uncertainty estimate of surface and atmospheric net irradiance

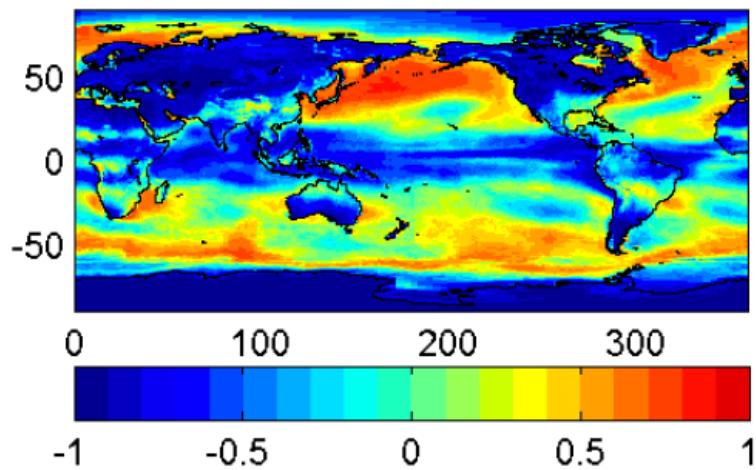
SW down and SW up



LW down and LW up



SW sfc net and LW sfc net



$$\sigma^2 = \sigma_x^2 + \sigma_y^2 + 2r_{xy}\sigma_x\sigma_y$$

$x = \text{up}, y = \text{down}$   
 $x = \text{SW net}, y = \text{LW net}$

# Surface and atmospheric net irradiance uncertainty ( $1\sigma$ )

		Mean value (Wm <sup>-2</sup> )	Monthly gridded (Wm <sup>-2</sup> )	Monthly zonal (Wm <sup>-2</sup> )	Monthly global (Wm <sup>-2</sup> )	Annual global (Wm <sup>-2</sup> )
Surface LW net	Ocean+land	-53	27	18	9	9
	Ocean	-48	23	18	11	10
	land	-65	34	28	13	9
Surface SW net	Ocean+land	169	21	13	9	6
	Ocean	178	21	13	9	6
	land	150	24	28	12	10
Surface SW +LW net	Ocean+land	116	32	20	6	9
	Ocean	130	28	20	8	11
	land	85	41	33	4	15
ATM SW +LW net	Ocean+land	-116	36	24	10	10
	Ocean	-119	32	24	12	11
	land	-103	45	37	8	19

# Temperature and humidity

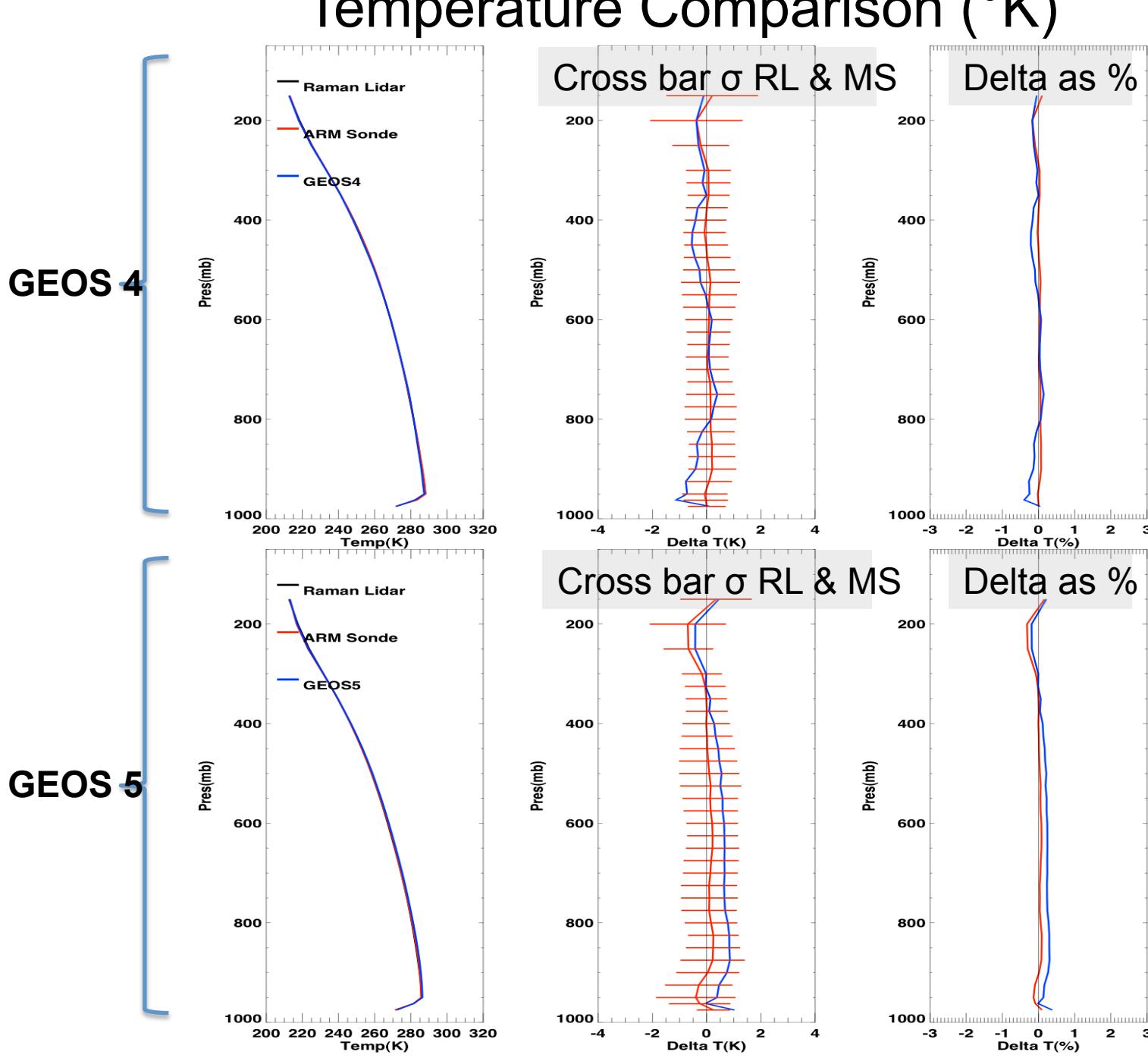
Simple model for clear sky downward longwave irradiance  
Dilley and O' Brien (1998), Stephens et al. (2012)

$$\Delta \text{DLR} = 6\beta \left( \frac{T}{T_*} \right)^5 \frac{\Delta T}{T_*} + \frac{1}{2}\gamma \left( \frac{w}{w_*} \right)^{-1/2} \frac{\Delta w}{w_*}. \quad (2)$$

$$\Delta DLR \approx x\Delta T + y\Delta w$$

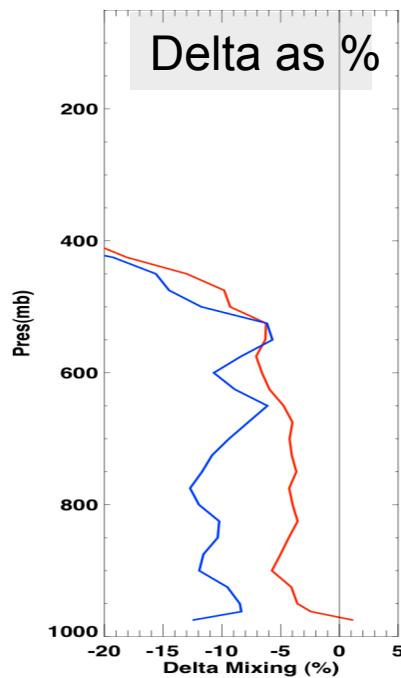
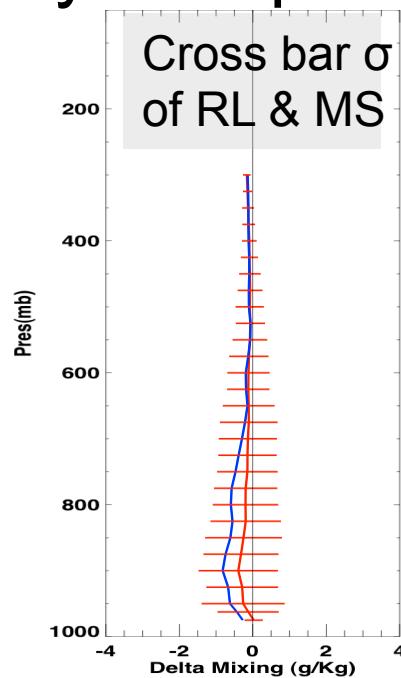
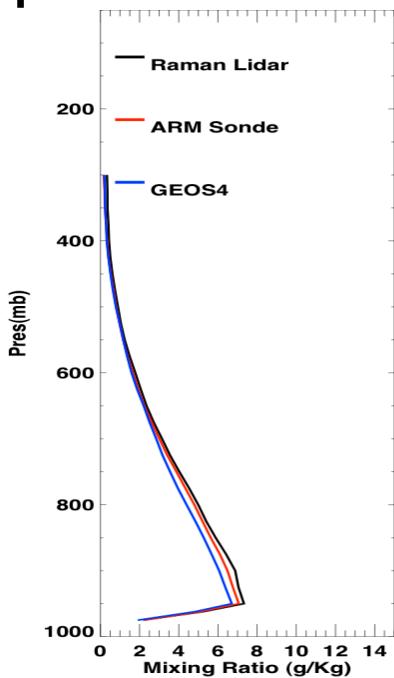
Monthly gridded downward longwave uncertainty over land  
 $17 \text{ Wm}^{-2}$

# Temperature Comparison ( $^{\circ}$ K)

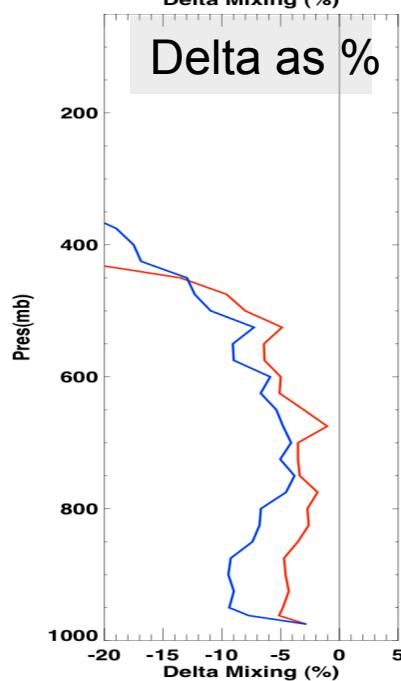
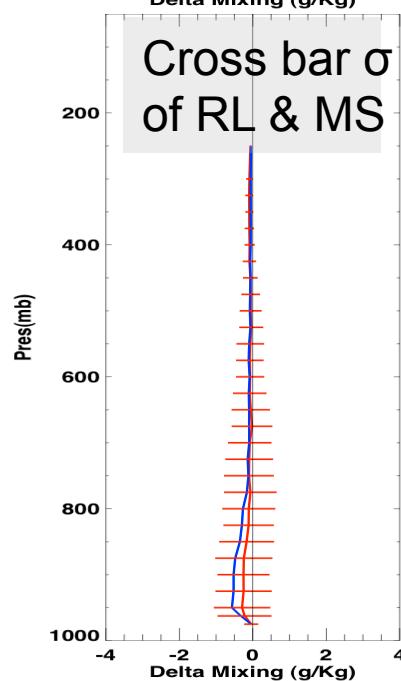
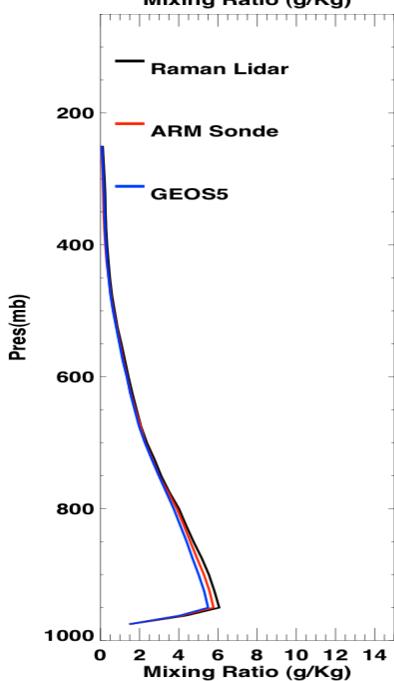


# Specific Humidity Comparison Difference

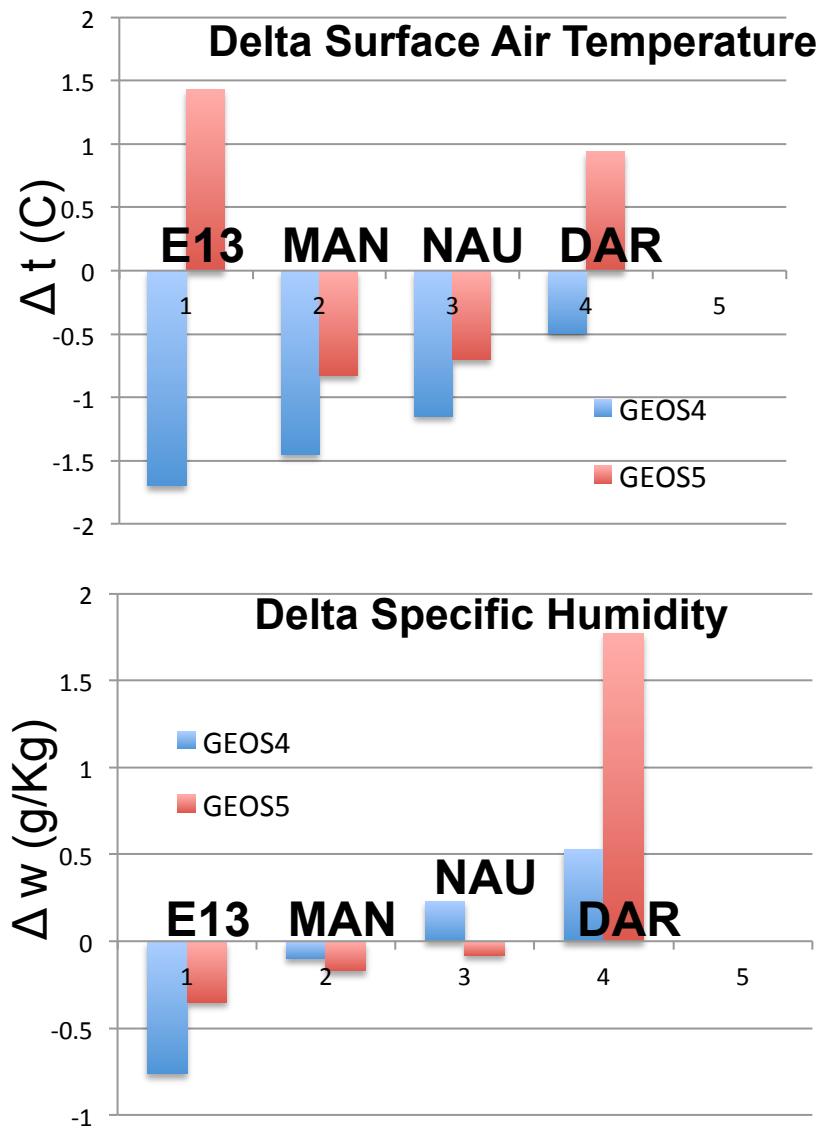
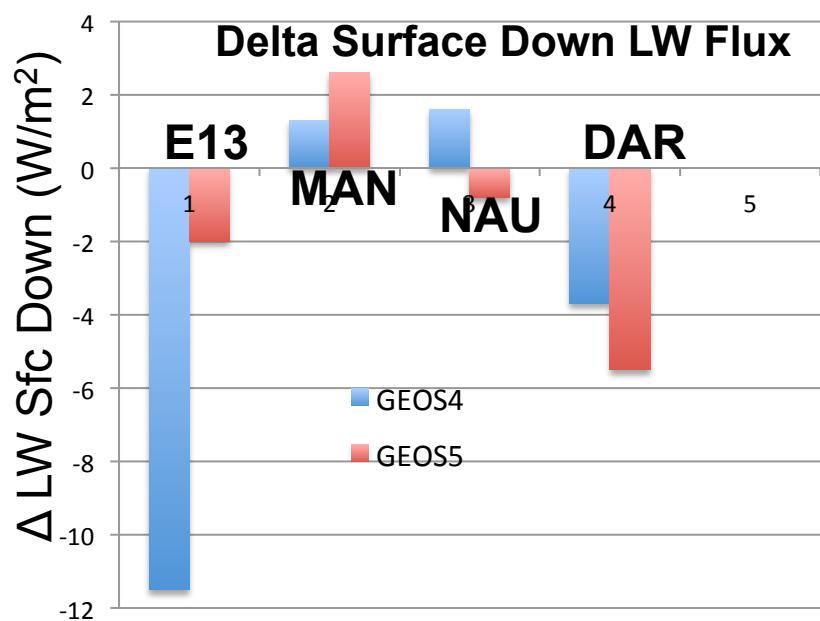
GEOS 4



GEOS 5



# Clear Sky - Mean Difference in Surface Air Temperature, Specific Humidity & Surface Flux (Model-Obs) GEOS 4 & GEOS 5



# 1D model

# FL 4-stream minus FL 2-stream Fluxes

Water 10  $\mu\text{m}$   
 Water 20  $\mu\text{m}$   
 Water 30  $\mu\text{m}$   
 Ice 10  $\mu\text{m}$   
 Ice 20  $\mu\text{m}$   
 Ice 30  $\mu\text{m}$

SZA=0°

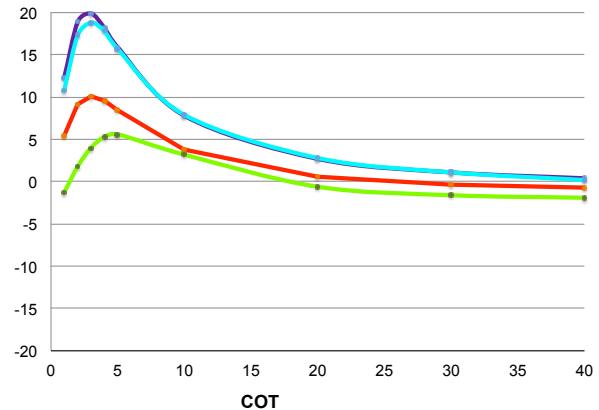
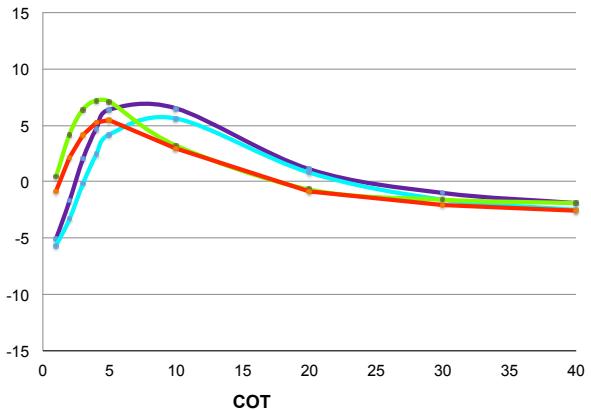
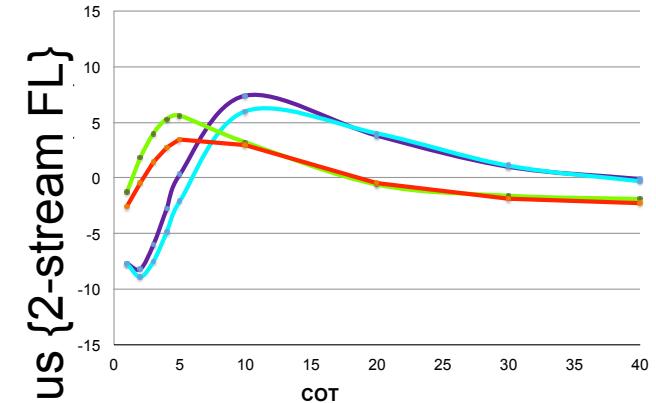
SZA=30°

SZA=60°

Upward TOA Flux

Upward TOA Flux

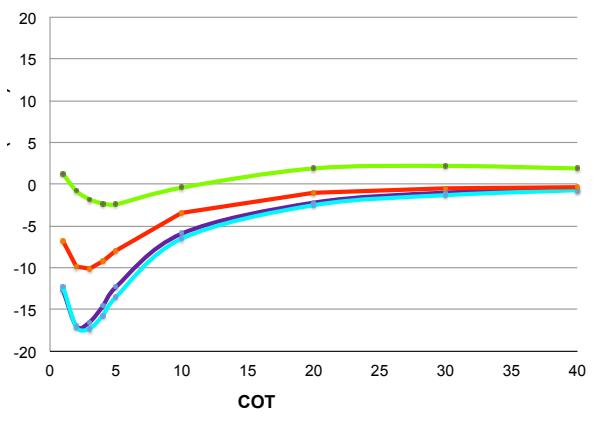
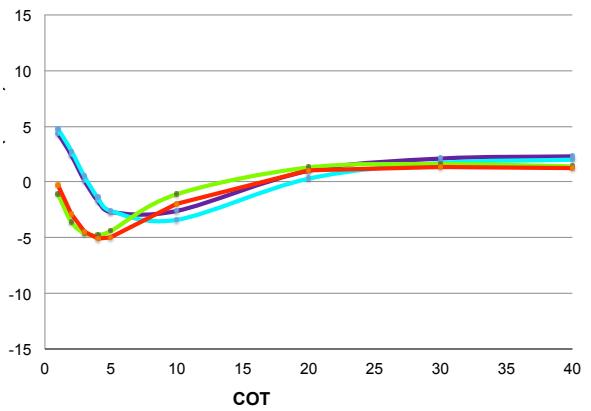
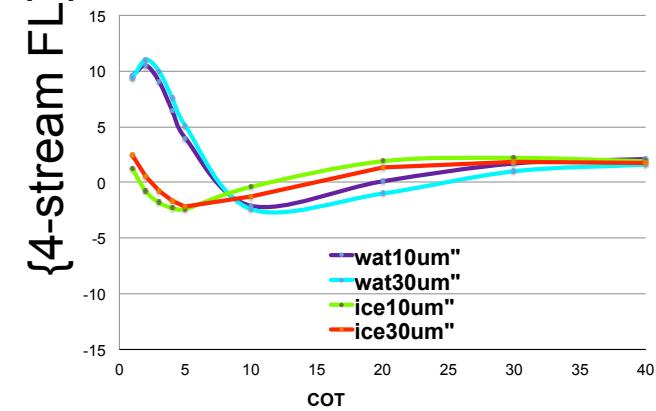
Upward TOA Flux



Downward SFC Flux

Downward SFC Flux

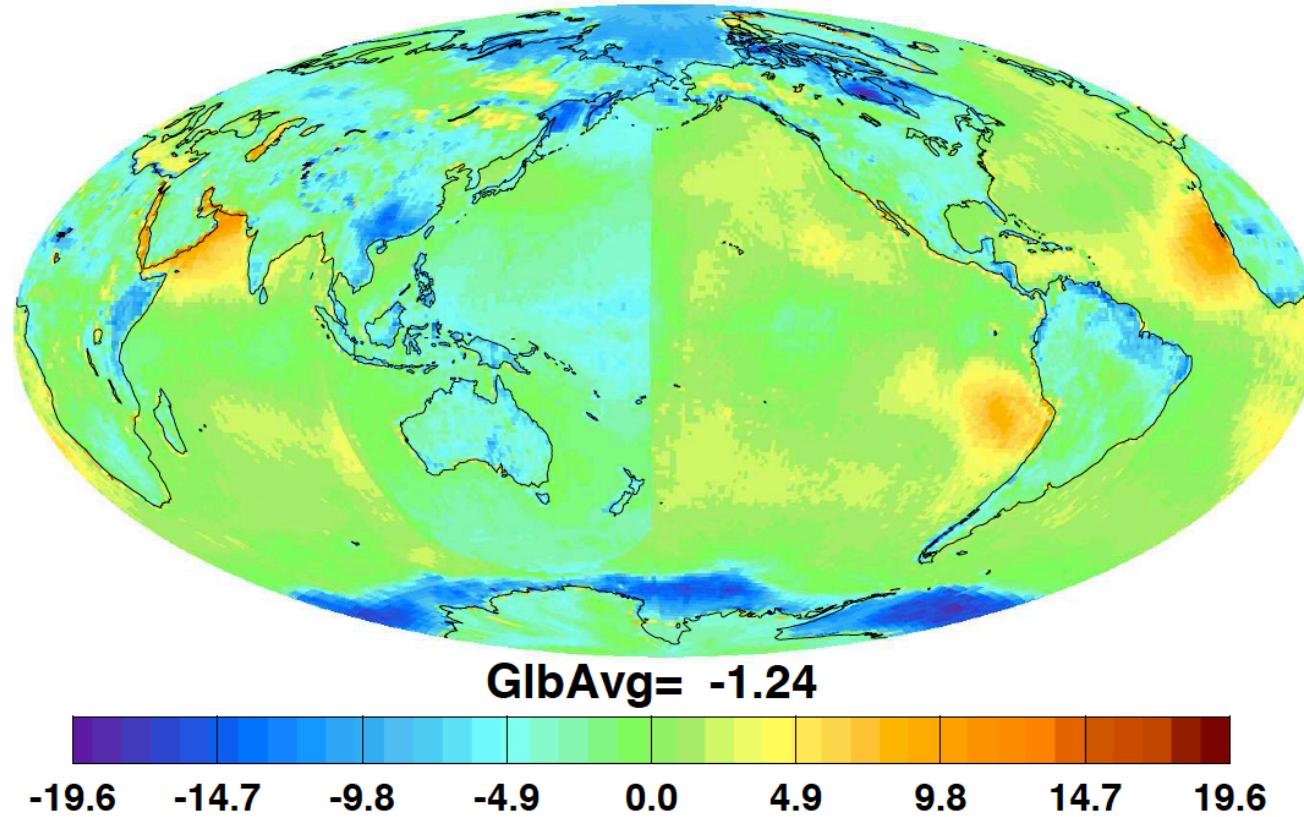
Downward SFC Flux



Difference = {4-stream Fu-Liou} minus {2-stream Fu-Liou}  
 2-stream model has smaller TOA and larger surface irradiances

Units: Wm<sup>-2</sup>

## Mean TOA\_SW\_UP\_UT-TOA\_EBAF\_SW\_UP

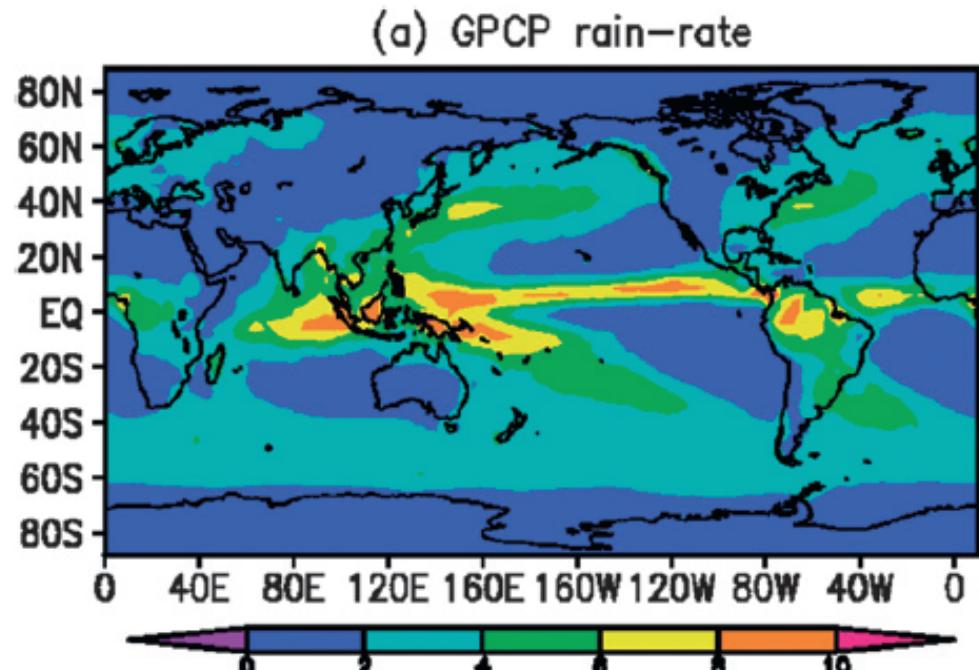
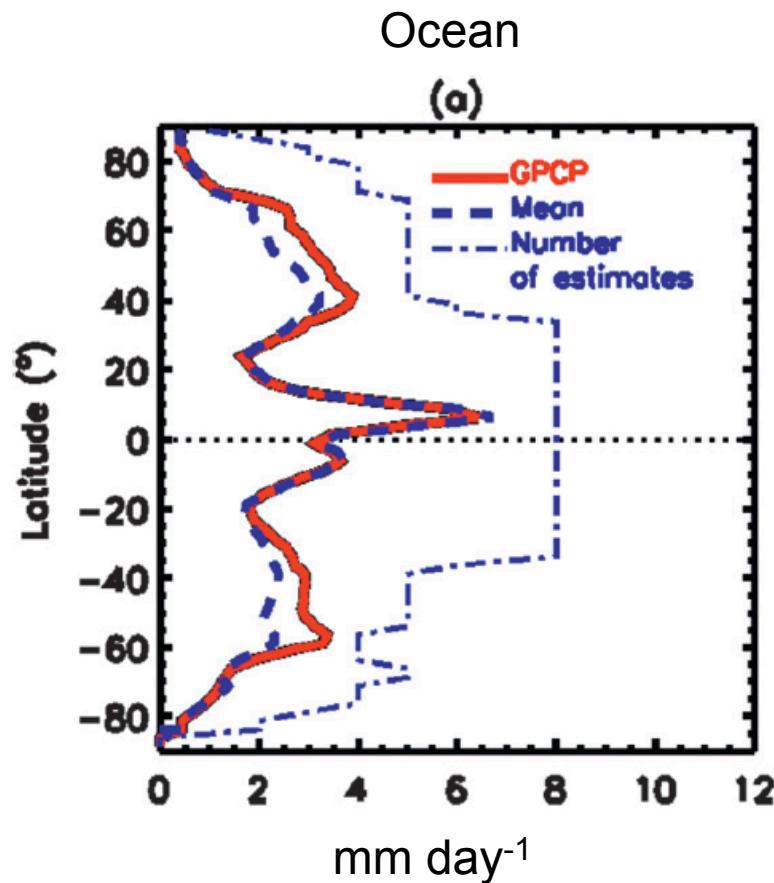


A 2-stream model tends to underestimate the reflected TOA shortwave irradiance compared to a model with higher streams especially for water clouds but there is no indication that it is causing a large bias

# Summary (radiation)

- Net atmospheric irradiance estimated by CERES project  $109 \pm 10 \text{ Wm}^{-2}$  while sensible heat + latent heat fluxes is  $\sim 94 \text{ Wm}^{-2}$ .
- Surface irradiances over NH. Midlatitude land and tropical ocean are evaluated and agree with observations to within their uncertainty.
- No major missing components (magnitude of missing components is known)
- Need surface observations over SH oceans and storm track regions for evaluation of our surface irradiances

# Zonal mean precipitation

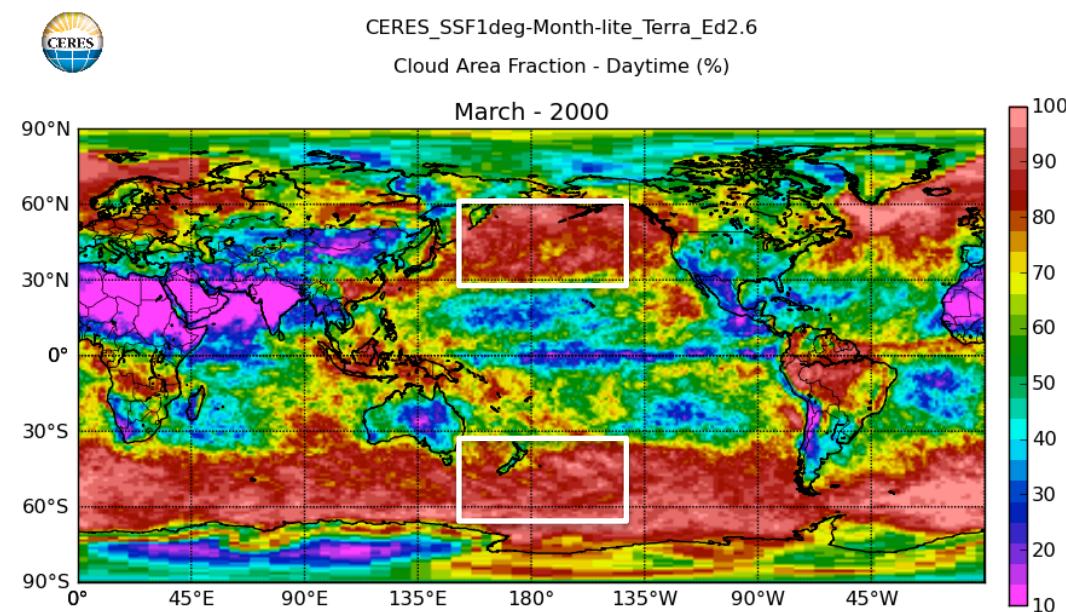
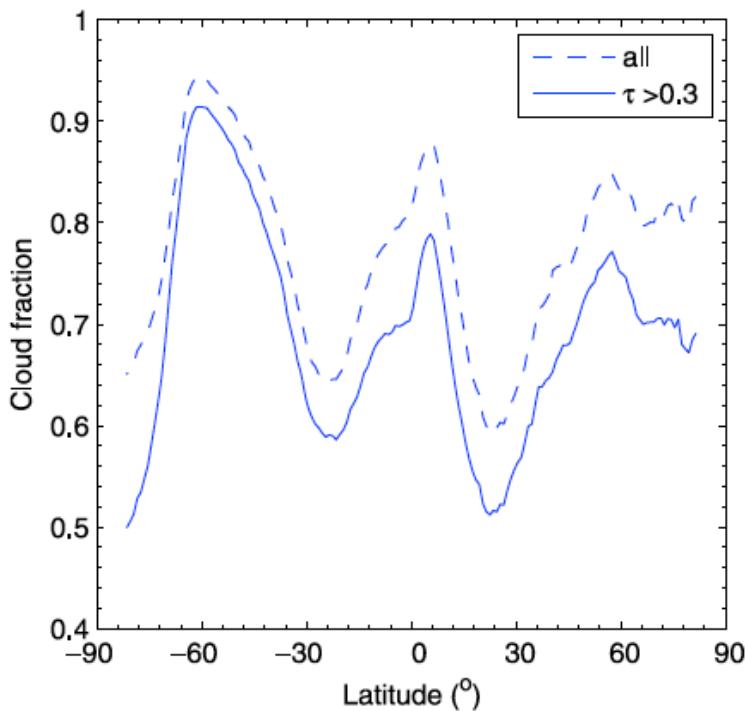


Between 30° to 60° latitude, NH rain rates is larger than SH rain rates

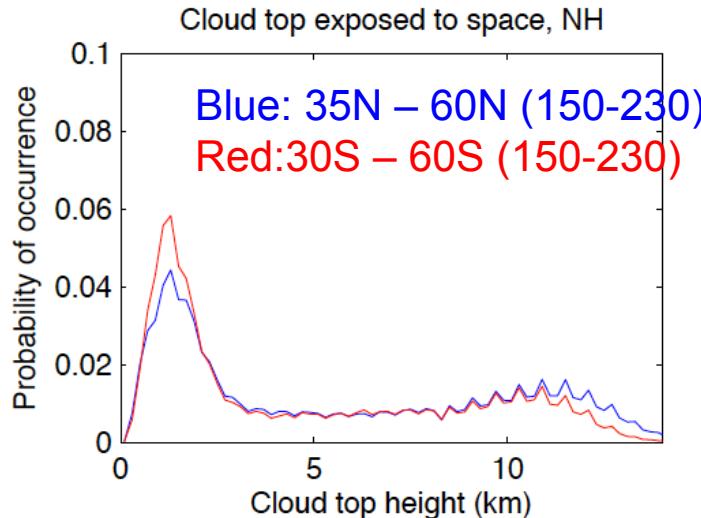
Adler et al. 2012

# Clouds over mid-latitude ocean

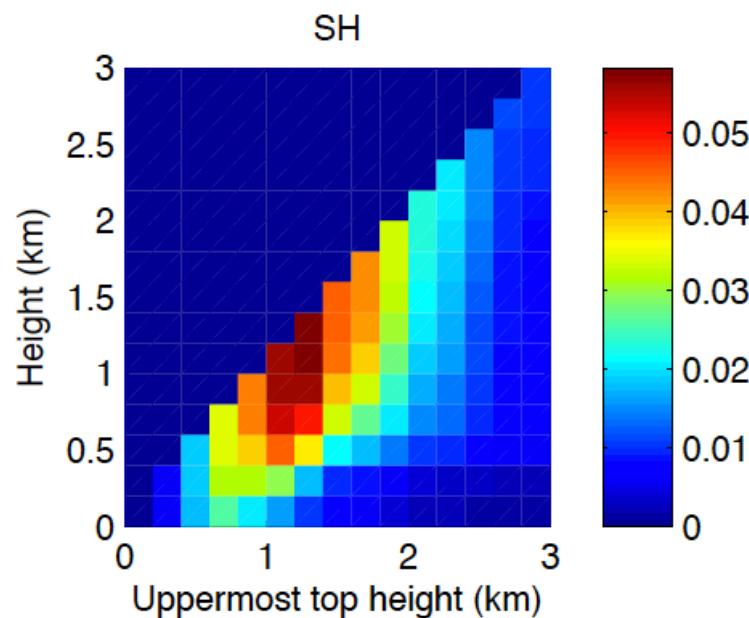
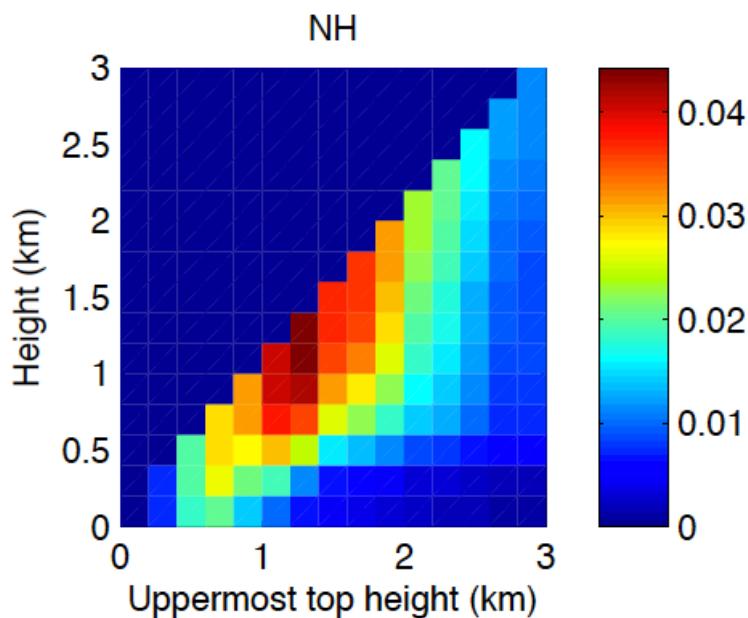
Cloud fraction derived from  
CALIPSO and CloudSat  
(annual mean)



# Cloud height derived from CALIPSO and CloudSat (derived from 1 year of data 2008)



- Cloud top and base heights are similar
- More cloud fraction over SH indicates
- SH storms do not precipitate as much as NH storms?
- Aerosol loadings are less in SH
- Contribution to the global mean might be small



# Summary

- Net atmospheric irradiance estimated by CERES project  $109 \pm 10 \text{ Wm}^{-2}$  while sensible heat + latent heat fluxes is  $\sim 94 \text{ Wm}^{-2}$ .
- Need to investigate the difference between NH and SH mid-latitude clouds over ocean
- Investigating the difference of cloud properties over land and ocean might provide some information too.

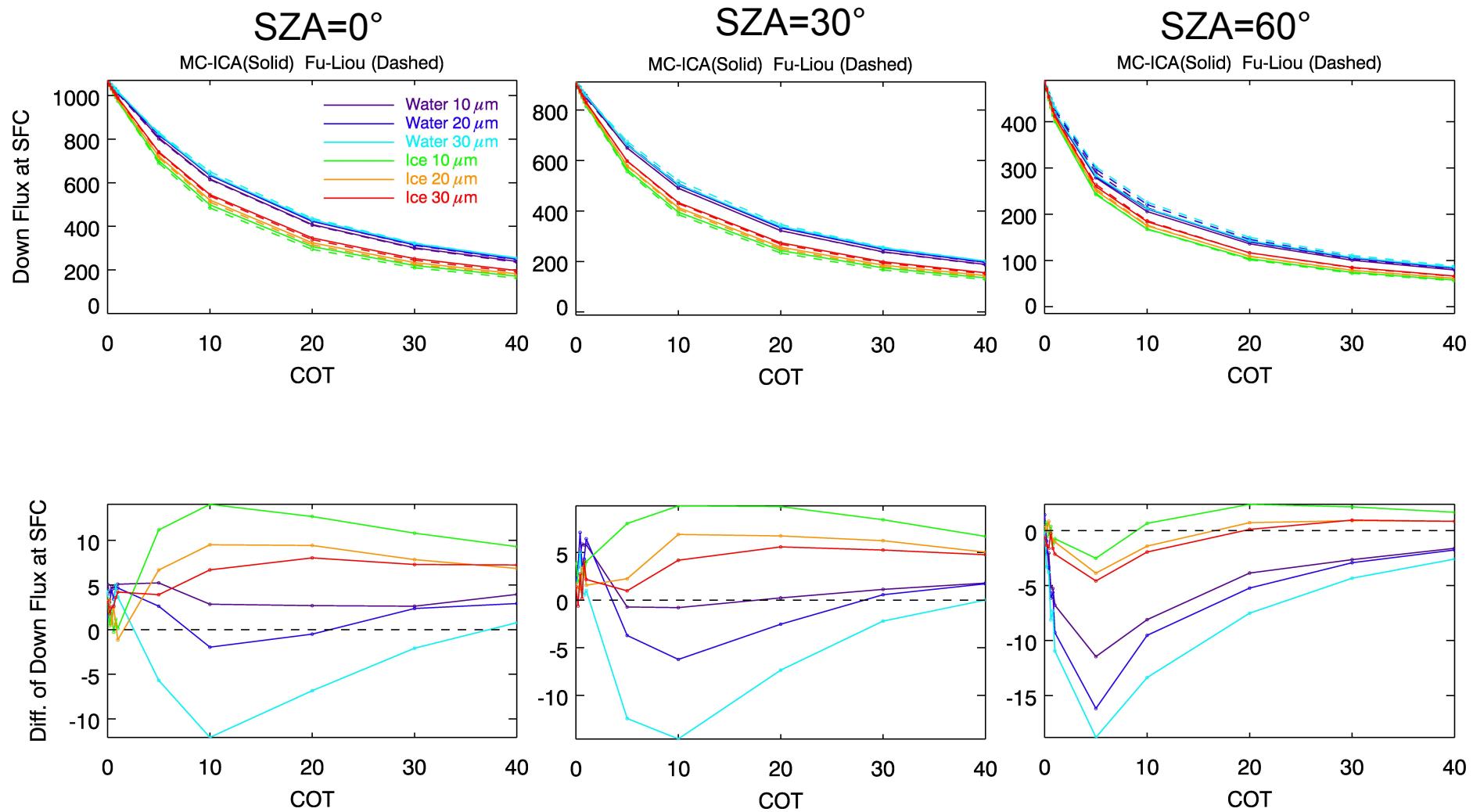
# Summary of evaluation of diurnal cycle with surface observations

Surface Longwave Irradiance (Observed Mean 331Wm <sup>-2</sup> )				
Model	Monthly Mean Bias Wm <sup>-2</sup> (%)	Standard Deviation Wm <sup>-2</sup> (%)		
		3-hr	Day	Month
SYN	-4.2 (-1.3)	20.9 (6.3)	15.6 (4.7)	9.7 (2.9)
ISCCP	8.4 (2.5)	35.5 (10.7)	29.7 (9.0)	19.0 (5.7)
MERRA	-17.8 (-5.4)	22.5 (6.8)	16.9 (5.1)	11.0 (3.3)
SRB	0.0 (0.0)	30.4 (9.2)	20.9 (6.3)	10.8 (3.3)
ERA-interim	-6.3 (-1.9)			10.1 (3.1)
EBAF	0.7 (0.0)			9.8 (3.0)

Surface Shortwave Irradiance (Observed Mean 185Wm <sup>-2</sup> )				
Model	Monthly Mean Bias Wm <sup>-2</sup> (%)	Standard Deviation Wm <sup>-2</sup> (%)		
		3-hr	Day	Month
SYN	2.2 (1.2)	53.2 (28.9)	30.0 (16.3)	11.5 (6.1)
ISCCP	-10.0 (-5.3)	79.4 (43.1)	39.9 (21.7)	19.0 (10.1)
MERRA	14.0 (7.4)	84.5 (45.9)	45.6 (24.8)	19.5 (10.4)
SRB	-10.9 (5.8)	80.0 (43.4)	39.2 (21.3)	20.6 (10.9)
ERA-interim	9.7 (5.2)			15.6 (8.3)
EBAF	-0.1 (0.1)			11.8 (6.3)

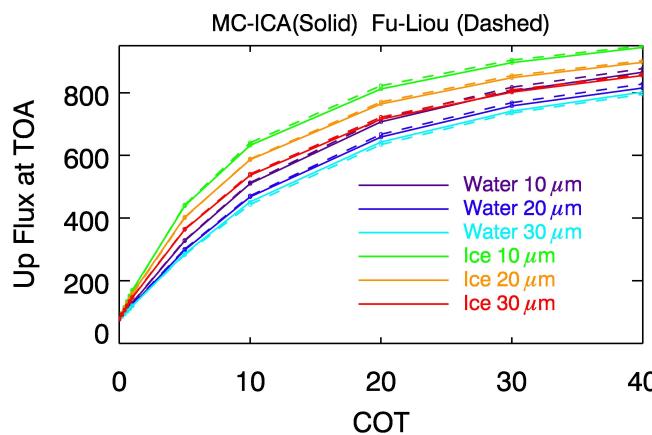
March 2000 to Dec 2007 (35 locations)

# MC-ICA vs. FL Downward Flux at SFC

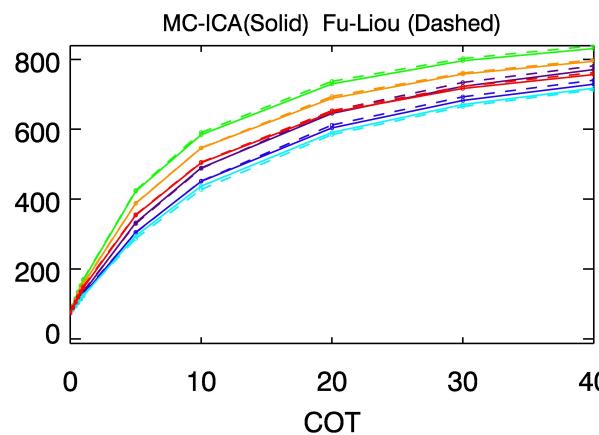


# MC-ICA vs. FL Upward at TOA

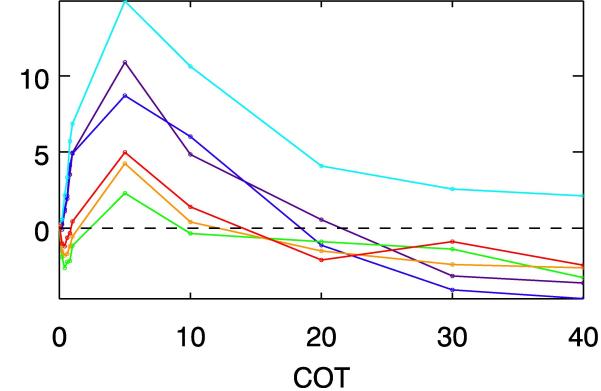
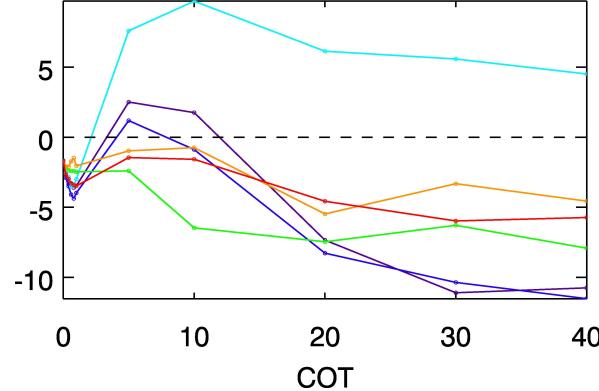
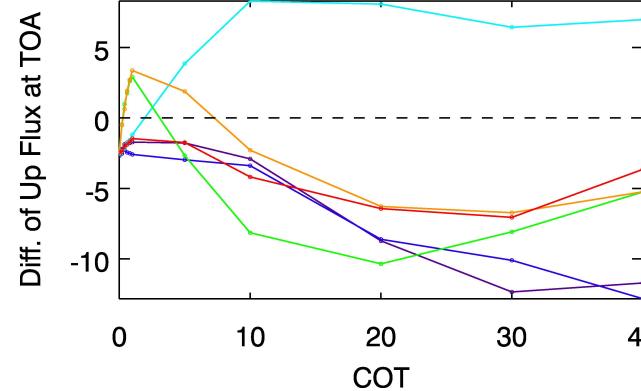
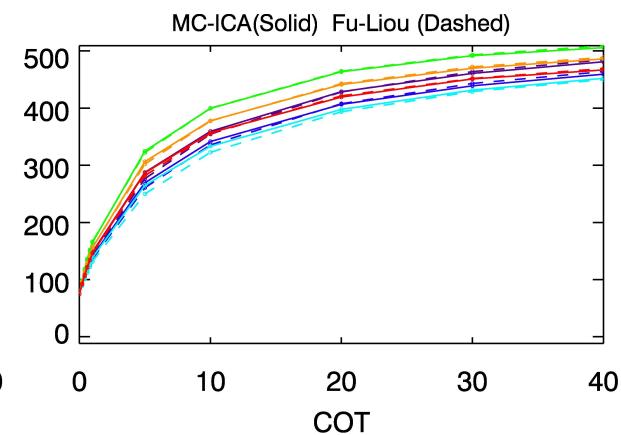
SZA=0°



SZA=30°



SZA=60°



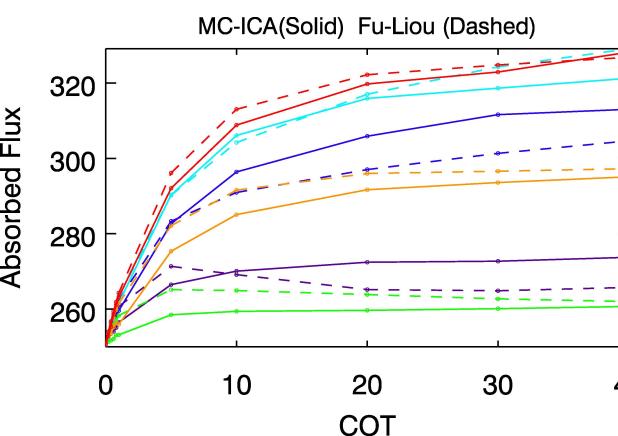
Difference = MC-ICA minus FL

Unit: Wm⁻²

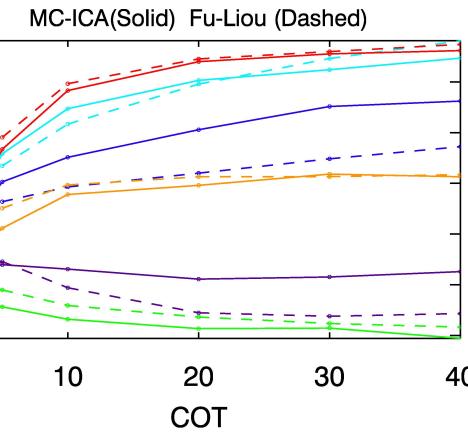
# MC-ICA vs. FL Absorbed Fluxes

- Water 10  $\mu\text{m}$
- Water 20  $\mu\text{m}$
- Water 30  $\mu\text{m}$
- Ice 10  $\mu\text{m}$
- Ice 20  $\mu\text{m}$
- Ice 30  $\mu\text{m}$

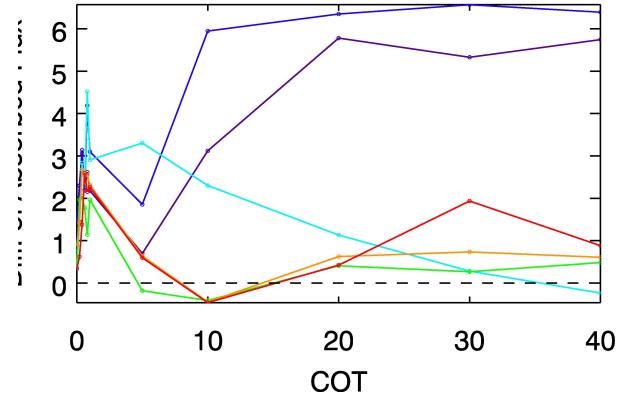
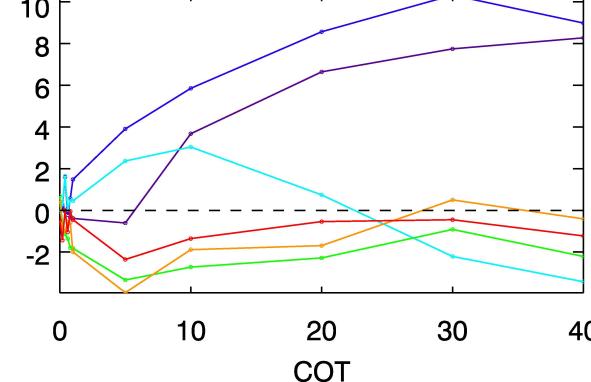
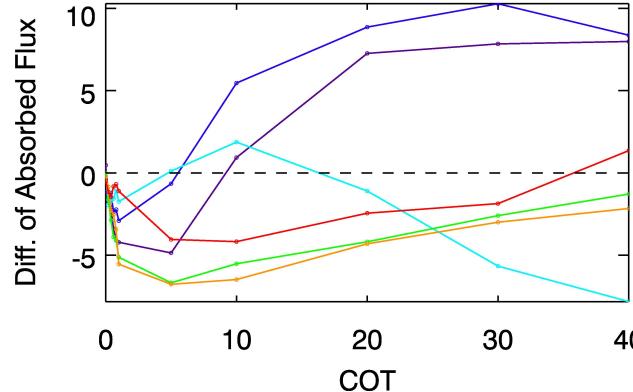
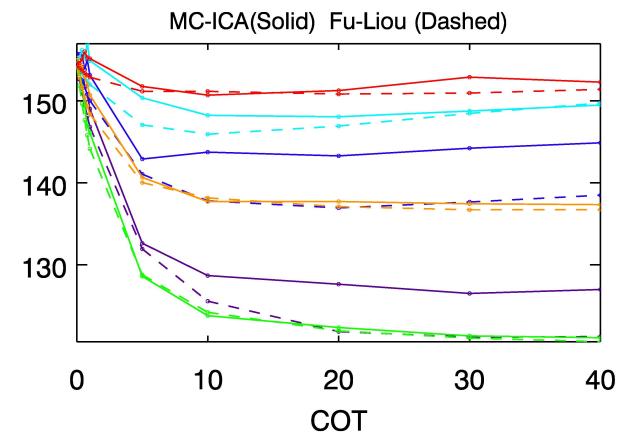
SZA=0°



SZA=30°



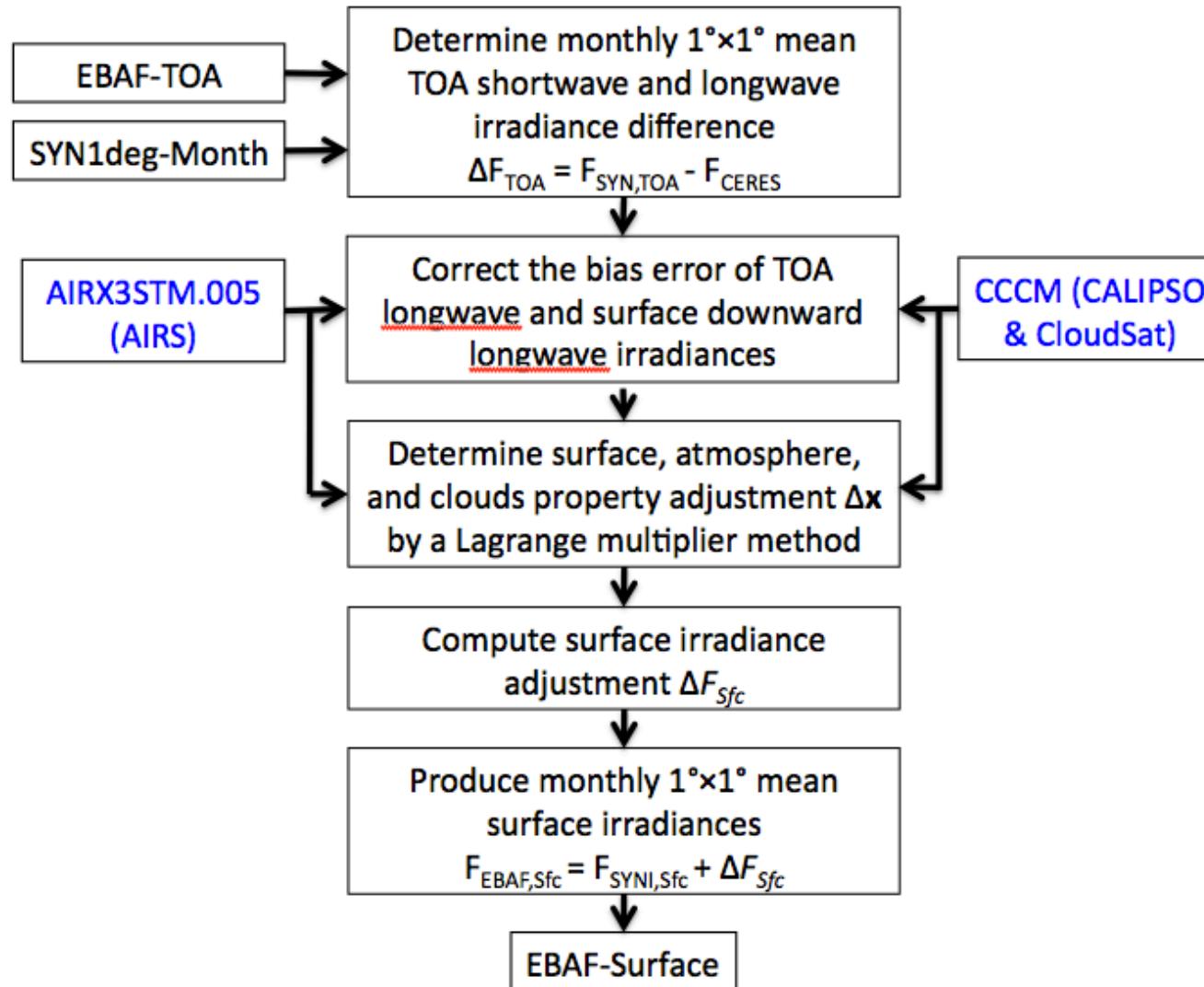
SZA=60°



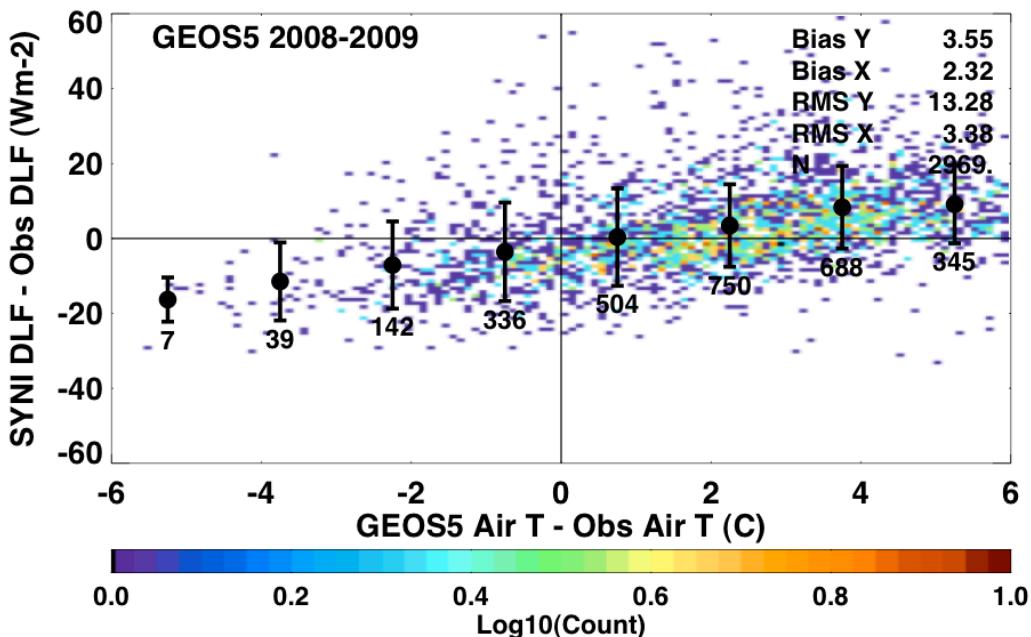
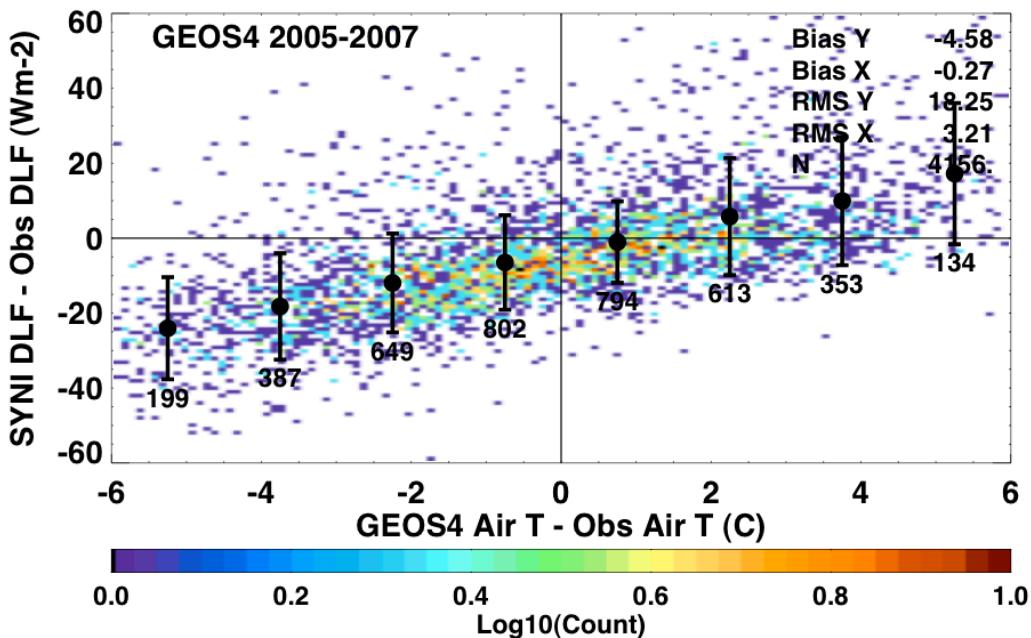
Difference = MC-ICA minus FL

Unit:  $\text{Wm}^{-2}$

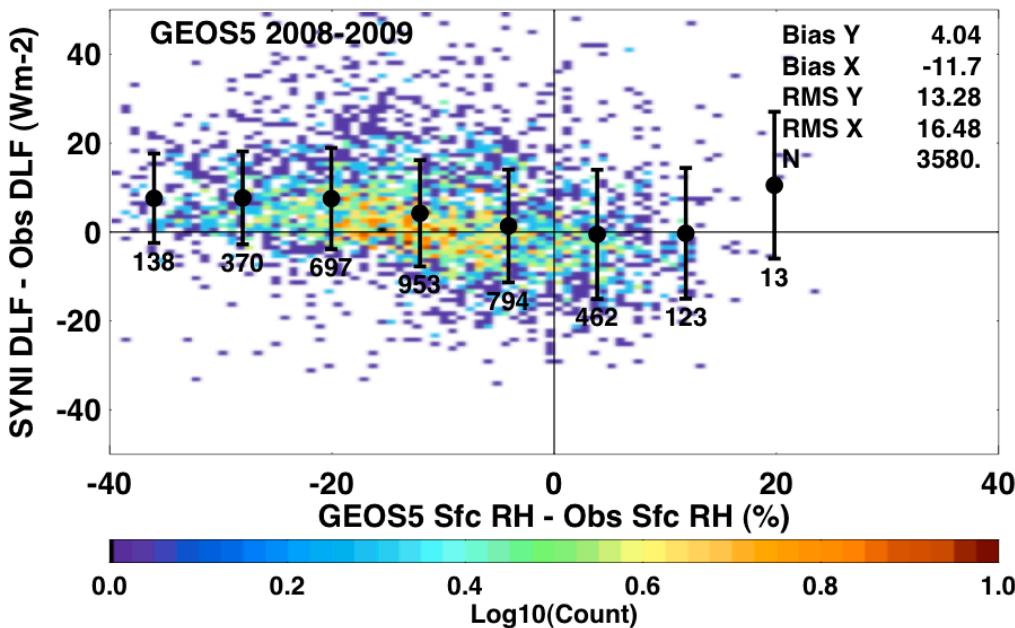
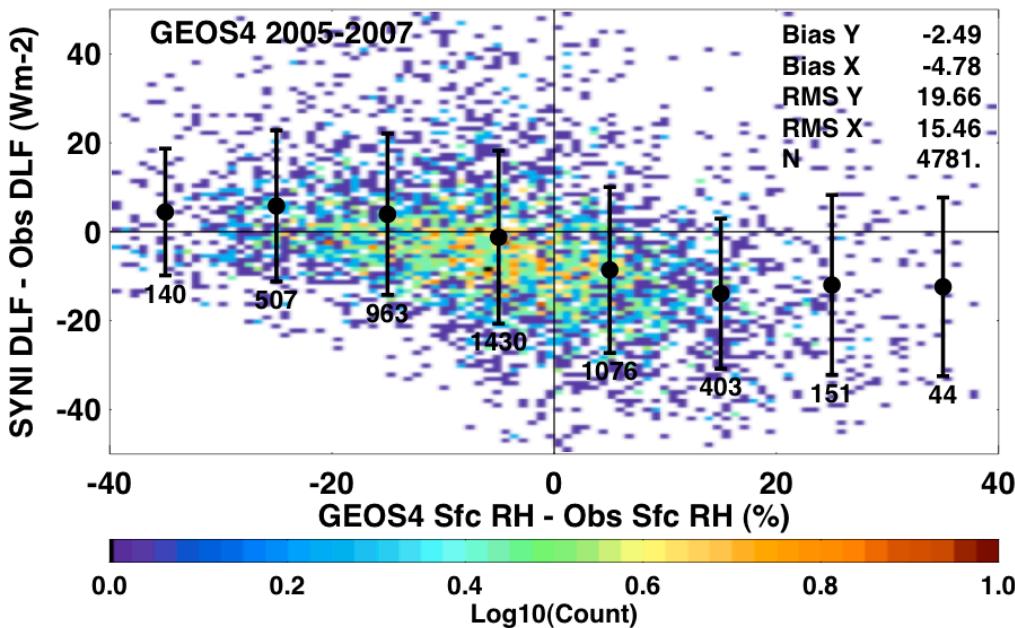
# EBAF-surface production flow diagram



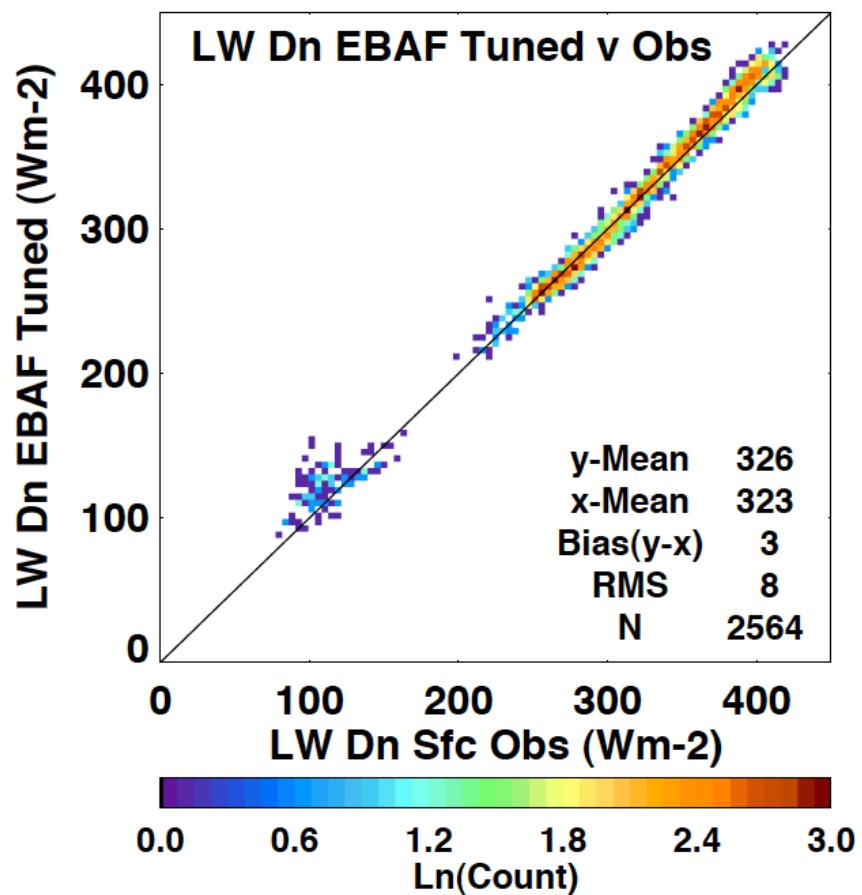
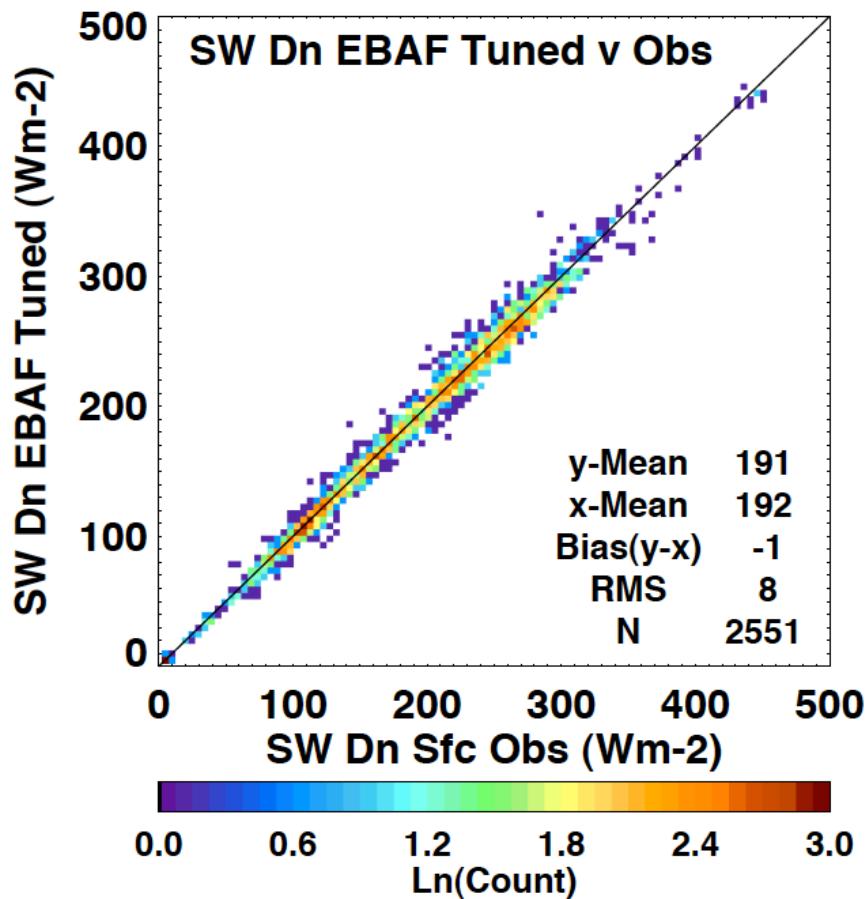
### Clear Sky: Delta LW Sfc Down vs. Delta Sfc Temp (GEOS - Obs)



### Clear Sky: Delta LW Sfc Down vs. Delta Sfc Rel Humidity (GEOS - Obs)



# RMS over land sites



# MC-ICA minus FL Fluxes

Water 10  $\mu\text{m}$   
 Water 20  $\mu\text{m}$   
 Water 30  $\mu\text{m}$   
 Ice 10  $\mu\text{m}$   
 Ice 20  $\mu\text{m}$   
 Ice 30  $\mu\text{m}$

SZA=0°

SZA=30°

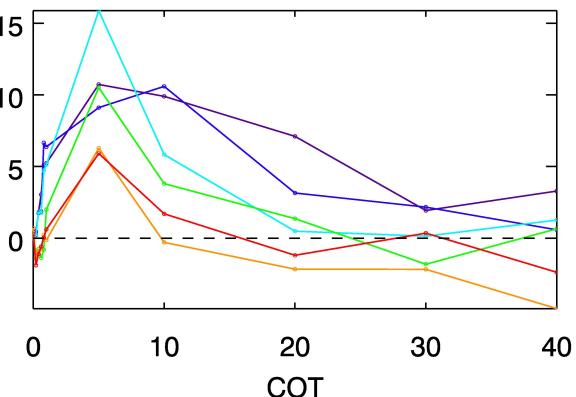
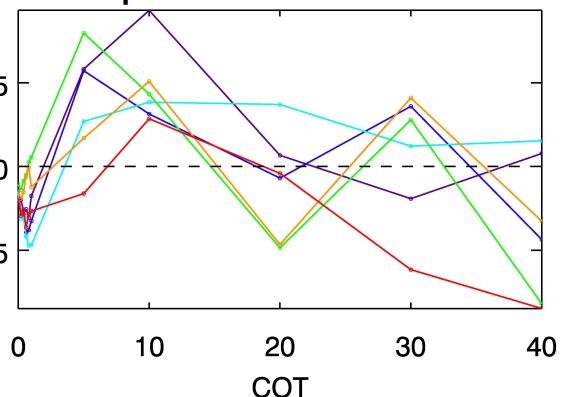
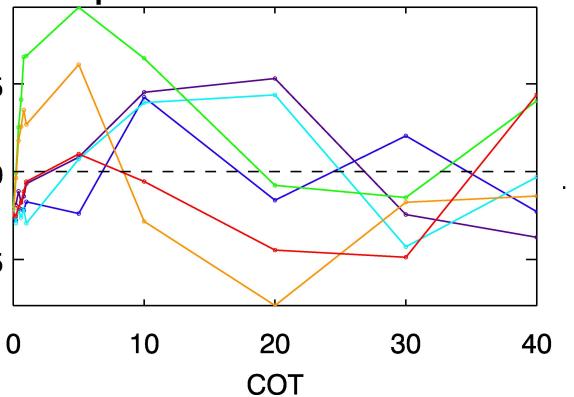
SZA=60°

Upward TOA Flux

Upward TOA Flux

Upward TOA Flux

{MC-ICA} minus {2-stream FL}

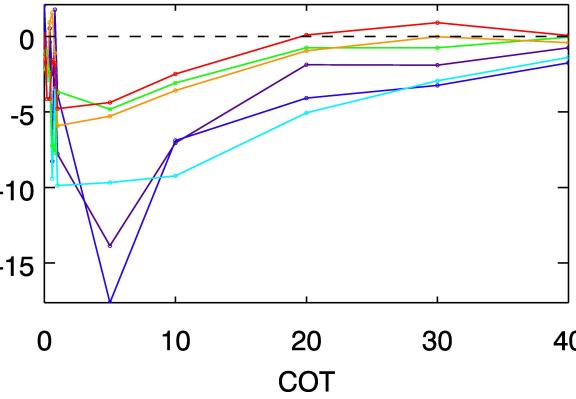
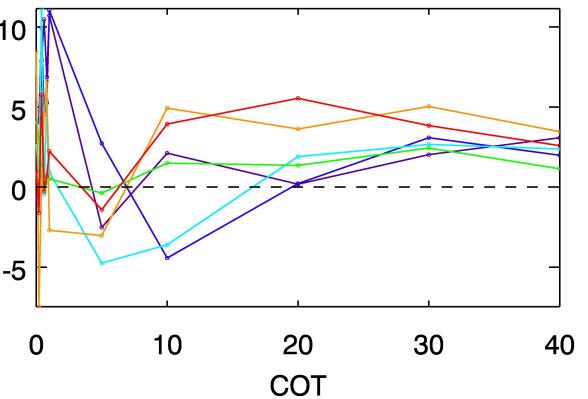
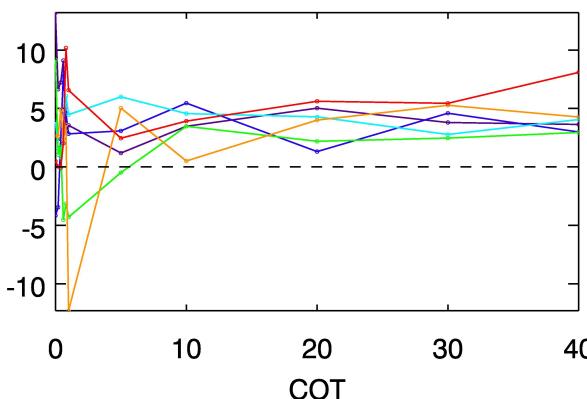


Downward SFC Flux

Downward SFC Flux

Downward SFC Flux

{MC-ICA} minus {2-stream FL}



Difference = {Monte Carlo ICA} minus {2-stream Fu-Liou}

Units:  $\text{W m}^{-2}$

# Surface radiation budget

- Global annual surface net irradiance balances with the sum of latent and sensible heat fluxes and ocean heating
- Understanding the change of the atmospheric energy budget is a key element in understanding cloud feedback (Wielicki et al. 1995; Stephens 2005).
- The change of the atmospheric energy budget is the driver of global mean hydrological cycle change (Stephens 2005; Mitchell et al 1987; Allen and Ingram 2002; Stephens and Ellis 2008).

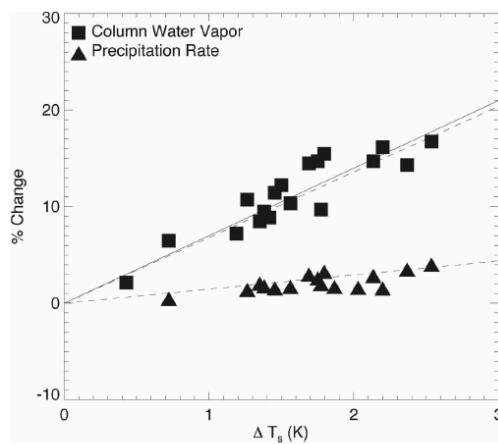


FIG. 3. The relative changes in column water vapor amount and precipitation rate, expressed as percentage changes, as functions of global temperature change derived from the AR4 models. The

Stephens and Ellis (J. Climate 2008)